

Michael W. Bloomberg
Mayor

The City of New York
Department of Transportation
Division of Bridges

Janette Sadik-Khan
Commissioner

REQUEST FOR PROPOSALS

FOR

DESIGN, CONSTRUCTION AND CONSTRUCTION SUPPORT SERVICES

**FOR THE REHABILITATION OF THE ST. GEORGE
STATEN ISLAND FERRY TERMINAL RAMPS
BIN'S 2270180, 2269770, 2269780, 2269730, 2269740, 2269750, 2269790, 2270170,
2269760**

BOROUGH OF STATEN ISLAND

CONTRACT NO.: HBR1217

PIN: 84106SIBR096

RELEASE DATE OF THE RFP: October 9, 2007

ANTICIPATED CONTRACT TERM:

- 1. Anticipated Time Required for Completion of Design-Build Services:** To be identified by the proposer, not to exceed 1,460 consecutive calendar days (CCDs) from the date of written Notice to Proceed to the date of contract close-out
- 2. Anticipated Period of Construction Term:** To be identified by the proposer, not to exceed 1,095 consecutive calendar days (CCDs) from the date of site mobilization to the date of contract close-out

AUTHORIZED AGENCY CONTACT PERSON

Proposers are advised that the Authorized Agency Contact Person for all matters concerning this Request for Proposals is:

Patrick Nestor
Project Manager
2 Rector Street, 7th Floor
New York, NY 10006
Telephone: (212) 788-2072
Fax: (212) 788-1911



**New York City
Department of Transportation**

Division of Bridges
2 Rector Street - 7th Floor
New York, New York 10006
Tel: 212/341-9899 Fax: 212/788-1896

Janette Sadik-Khan, Commissioner

Web: www.nyc.gov/dot

October 9, 2007

Re: Request for Proposals in Connection with
Design, Construction and Construction Support Services for the
Rehabilitation of the St. George Staten Island Ferry Terminal Ramps
Borough of Staten Island
Contract No. HBR1217, PIN 84106SIBR096

To Whom It May Concern:

I am pleased to invite your organization to submit a proposal for Design Build Services to assist the Division of Bridges with its Capital Infrastructure Improvement Program. Specifically, the proposal will be for design, construction and construction support services in connection with the above noted contract.

Please be advised that a Pre-Proposal Conference has been scheduled for October 23, 2007 at 10:30 AM at 2 Rector Street, 8th Floor Conference Room. Due to limited space, please RSVP the number of representatives who will be attending.

This procurement is partially funded by the United States Department of Transportation, through the Federal Transit Administration. As such, the successful proposer shall follow the "Federal Transit Administration's Third Party Requirements" during the course of the work.

Enclosed for your use in developing your proposal is a set of forms with instructions for the above-referenced project. Be further advised that the selected proposer should not subcontract more than 49% of the contract work. You should follow the submittal instructions carefully.

You should hand deliver your proposal, as indicated in Section IV of the RFP, to the **NYC Department of Transportation, Contract Section, 8th Floor - Room 824A, 40 Worth Street, New York, New York 10013 on or before December 19, 2007 between the hours of 9:00am and 2:00pm only on business days.**

In order that we can expeditiously disseminate additional information regarding this RFP, please complete the attached "**ACKNOWLEDGEMENT OF RECEIPT OF REQUEST FOR PROPOSALS**" form and return to Patrick Nestor within 48 Hours of receipt of this RFP by Fax: (212) 788-1911.

If you have any questions concerning this invitation please call (212) 788-2072

Very truly yours,

Patrick Nestor
Project Manager
Design Build/Emergency Contracts

Enclosures

ACKNOWLEDGEMENT OF RECEIPT OF REQUEST FOR PROPOSALS

**WE STRONGLY RECOMMEND THAT YOU FAX THIS SHEET TO US TO ENSURE THAT YOU RECEIVE ALL
FUTURE ADDENDA**

ATTN.: Patrick Nestor - FAX: (212) 788-1911

WE WILL PARTICIPATE IN THE RFP - SEND ANY TECHNICAL ADDENDA TO THE CONTACT PERSON LISTED BELOW:

Company:			
Address:			
City	State	ZIP	
Contact Person:	Phone #:	Fax #	Email:
RFP Contract Number: Contract No. HBR1217, PIN 84106SIBR096			
RFP Contract Title: Design, Construction and Construction Support Services in connection with the Rehabilitation of the St. George Staten Island Ferry Terminal Ramps, Borough of Staten Island			

OR

WE DO NOT PLAN TO SUBMIT A PROPOSAL, BECAUSE (Please check as many as apply).

Please check **all** the reasons that apply and return this form to **Patrick Nestor**
Fax: (212) 788-1911 ; E-mail: pnestor@dot.nyc.gov.

- 1) Size of this contract is not within the interest of company.
- 2) Company had an insufficient amount of time to prepare proposal. (Please give the date that the Company acquired RFP and any other pertinent information.)
- 3) Contract work not within the specialty of the Company. (Please cite Company's area of specialty.)
- 4) Other. (Please explain in comment section below.)
- 5) Please remove me from your solicitation list.

Comments: (Please use additional sheets if necessary)

Signature

Title

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SECTION I - TIMETABLE

A. Release Date of the Request for Proposals:

October 9, 2007

All questions and requests for additional information concerning this Request for Proposals should be directed to **Patrick Nestor**, Project Manager the Authorized Agency Contact Person at:

Telephone: (212) 788-2072
Fax: (212) 788-1911
Email: pnestor@dot.nyc.gov

Proposers should submit questions no later than 5 working days prior to the proposal due date since the Agency may be unable to respond to questions received after that date.

B. Pre-Proposal Conference:

Date: October 23, 2007
Time: 10:30 AM
Location: 2 Rector Street, 8th Floor Conference Room, NY, NY 10006

C. Proposal Due Date and Time and Location:

- Technical Proposal (Open to all proposers)
Date: December 19, 2007
Time: 2:00 PM
Location: NYCDOT Contract Section, 40 Worth Street,
8th Floor, Room 824 A, New York, NY

Attendance by proposers is optional but recommended by the Agency. All questions and requests for additional information concerning the pre-proposal conference should be directed to the Authorized Agency Contact Person

Proposals should be hand delivered to NYCDOT Contract Section located at 40 Worth Street, 8th Floor, Room 824A, New York, New York 10013, between the hours of 9am-2pm only.

E-mailed or faxed proposals will not be accepted by the Agency.

Proposals received at this Location after the Proposal Due Date and Time are late and shall not be accepted by the Agency, except as provided under New York City's Procurement Policy Board Rules.

The Agency will consider requests made to the Authorized Agency Contact Person to extend the Proposal Due Date and Time prescribed above. However, unless the agency issues a written addendum to this RFP that extends the Proposal Due Date and Time for all proposers, the Proposal Due Date and Time prescribed above shall remain in effect.

SECTION II – SUMMARY OF THE REQUEST FOR PROPOSALS

A. Purpose of the RFP

The Department is seeking an appropriately qualified firm to perform Design, Construction and Construction Support Services for the complete reconstruction of the St. George Staten Island Ferry Terminal Ramps, borough of Staten Island. The proposing entity (the “Company”) may be a diversified construction company, a joint venture, or a design firm or construction company utilizing one or more appropriate sub-contractors.

NYCDOT will select a Design/Build Company (the “Company”) through a RFP process. The selected Company may form a joint venture with other(s) and/or may use subcontractors’ services to perform specialized tasks as required to complete the project. NYCDOT, Division of Bridges, will have an agreement with the selected Company as a single entity that will have the responsibility for providing/performing all of the tasks necessary to complete the project.

Part of this entity will be a Design Consultant firm (the “Consultant”) and will be responsible for Design and Construction Support Services as described in the Agreement (See Book 1 of 2 of the Request for Proposal, Agreement, Section 1.03). The Consultant shall perform and coordinate all design work and shall seek all appropriate approvals from New York City affected agencies/entities as needed for the completion of the design work.

Conversely, part of the entity will be a Construction firm (the “Contractor”) and will perform the construction, based on the Consultant’s approved design, and provide shop drawings as described in the Agreement (See Book 1 of 2 of the Request for Proposal, Agreement, Section 1.03).

The Company shall coordinate and schedule design and construction activities so that the specified product is delivered in a timely manner.

The work to be performed under this contract calls for the complete rehabilitation or reconstruction of nine bridge structures and the resurfacing of the North Municipal Parking Lot at the St. George Staten Island Ferry Terminal. The work shall include, but not be limited to, rehabilitation of existing expansion joints, concrete deck repairs, selected structural steel repairs, painting, replacement of the existing superstructures and substructures (where necessary), replacement of the drainage system, installation of a pigeon deterrent system.

The Company that will be awarded this Contract shall not be eligible for award as a Prime Consultant or Sub-Consultant for Resident Engineering Inspection Services for the Rehabilitation of the St. George Staten Island Ferry Terminal Ramps, Borough of Staten Island.

B. Anticipated Contract Term

1. Anticipated Time Required for Completion of Design/Build Services: To be identified by the proposer, not to exceed 1,460 CCD
2. Anticipated Period of Construction Term: To be identified by the proposer, not to exceed 1,095 CCD

C. Anticipated Payment Structure

It is anticipated that the payment structure of the contract awarded from this RFP will be a combination of a lump sum payment, performance outcome measures and related financial liquidated damages. However, the Department will consider proposals to structure payments in a different manner and reserves the right to select any payment structure that is in the City’s best interest.

SECTION III: SCOPE OF SERVICES

A. Agency Goals and Objectives

The Department's goals and objectives are to expeditiously arrest the deterioration of the structures and rehabilitate and replace where necessary the subject structures in order to extend their useful life to 50 years (30 years for deck).

B. Agency Assumptions Regarding Company Approach

The Department's assumptions regarding which approach will best achieve the goals and objectives set out above are described in the contract pages (the "Agreement"), included in Book 1 of 2 of The Request For Proposal, Section 1.03, as well as in all Exhibits in Book 2 of 2 of the Request for Proposal.

In addition, the Department will also consider the following qualifications:

Proposers whose firm(s) include the following certifications:

- National Institute Certification for Engineering Technology (NICET) certified superintendents.
- Professional engineers licensed by the State of New York.

Proposers that demonstrate the following relevant construction experience and qualifications:

- Prior relevant successful construction experience as the prime contractor for at least two bridges within the last 10 years, each of which was substantially completed prior to 2006 and had a construction cost of at least \$50 million.
- Prior experience working over railroads.
- Employ at least three full-time superintendents that have supervised bridge reconstruction projects of \$50 million or greater, at least two of whom will be assigned full-time to this project.
- Availability of a regional office.

Proposers that demonstrate the following relevant consulting experience and qualifications:

- Prior relevant successful experience as the prime consultant for at least three bridge design projects, each of which had a construction cost of at least \$50 million, was completed within the last five years, and was managed by the regional office.
- Employ at least 30 full-time technical employees at regional offices.
- Employ at least 10 full-time bridge design engineers at regional offices.
- The attrition rate of the technical employees of the firm has not exceeded 20% annually over the last three years.
- At least 15% of the technical employees of the firm are licensed professional engineers.
- The average total relevant experience of the firm's licensed professional engineers is 8 years or more.
- The firm has adopted and published a QA/QC procedures manual.
- The firm has at least two CADD stations and at least two trained CADD operators on staff to provide CADD drawings.

Proposers that demonstrate the following relevant design/build experience and Qualifications:

- The firm includes at least 3 engineers, at least 2 of whom have relevant experience with projects located over railroads.

C. Agency Assumptions Regarding Performance Outcome Measures and Liquidated Damages

The Department's assumptions regarding which performance outcome measures and related liquidated damages that will best assure that the selected proposer will perform the work under the contract awarded from this RFP in a manner that is cost-effective for the Department and most likely to achieve the Department's goals and objectives set forth above are described below.

The contract calls for the following **Incentive/Disincentive and Liquidated Damages**

▪ Incentive/Disincentive

The Department will provide an incentive amount of \$25,000 per day for each day of completion of the entire construction work earlier than the company's proposed construction end date as was identified in their proposal and contract documents. The incentive will be limited to 200 days for a maximum of \$5,000,000.

The intent of the incentive is to reduce the construction duration and its associated costs to Ferry operations, parking lot revenue reduction, MTA-buses and SIRTOA revenue reduction, REI costs, DOT supervision costs as well as the overall inconvenience to the public. Conversely, the Department will also assess a disincentive amount of \$25,000 per day for each consecutive calendar day the contractor fails to substantially complete the job within the duration they specified in their proposal and contract. There is no upper limit to the disincentive amount.

▪ **Liquidated Damages**

The contract will call for liquidated damages of \$3,000 per day for each day that the contractor fails to mobilize at the site past the pre-construction duration, as such duration was identified by the contractor in its proposal and contract. The pre-construction duration is defined as the time frame from the date of Notice to Proceed to the date of site mobilization for the purpose of executing the scope of work. The intent of the liquidated damages is to ensure timely mobilization at the site so that the condition of the ramps is expeditiously addressed.

D. Opportunity for Disadvantaged Business Enterprise

The NYC Department of Transportation's Disadvantaged Business Enterprise (DBE) utilization goal for this procurement is **9%**. The successful proposers must show good faith efforts that it attempted to meet the DBE goal.

Proposers shall refer to the Federal Transit Administration's third party requirements attached to the Agreement for DBE resources.

E. Federal Transit Administration Requirements

This procurement is partially funded by the United States Department of Transportation, through the Federal Transit Administration. As such, the successful proposer shall follow the "Federal Transit Administration's Third Party Requirements" during the course of the work. All proposers must submit Appendix A, "Buy America Certification", Appendix B, "Disclosure of Lobbying Activity", Appendix C, "Certification of Contractor regarding Debarment, Suspension and other Responsibility matters" and the Disadvantage Business Enterprise office notification form with its Technical proposal.

F. PERFORMANCE AND PAYMENT BOND REQUIREMENTS

The successful proposer shall be required to secure performance and payment bonds in an amount equal to 100% of the value of the work in connection with the resultant contract. The performance and payment bonds shall be secured from a Surety Company authorized to do business in the State of New York. The procurement of the performance and payment bonds is a condition precedent to the execution of the resultant contract.

**Insurance must be maintained during performance and up to final acceptance of the contract work. The types and amounts of insurance required by the City are fully described in the Agreement (Section 1.03, Book 1 of 2 of the RFP) and Schedule A (See Book 1 of 2, Section 1.05.3).*

SECTION IV: FORMAT AND CONTENT OF THE PROPOSAL

Instructions: Proposers should provide all information required in the format below. The proposal should be typed on both sides of 8 1/2" X 11" paper. The City of New York requests that all proposals be submitted on paper with no less than 30% post-consumer material content, i.e., the minimum recovered fiber content level for reprographic papers recommended by the United States Environmental Protection Agency (for any changes to that standard please consult: <http://www.epa.gov/cpg/products/printing.htm>). Pages should be paginated.

The Proposal package should consist of individually sealed components as listed in Section IV - B. Proposal Package Contents ("Checklist"), each bound in an 8 1/2" x 11" plastic spiral binding. The cover should be hard cardboard or laminated plastic, the cover should feature the name of the responding firm(s) and the contract name and number. Responses should be typed using 12 point font. Responses on pre-printed forms should be no smaller than 8 point font, and then only when necessary. The response may include a one page bound transmittal letter, which summarizes the respondent's understanding of the project and its ability to successfully accomplish the job.

The proposal will be evaluated on the basis of its content, not length. Failure to comply with any of these instructions will not make the proposal non-responsive.

In response to this RFP, All Proposers should provide information required in STEP I of Proposal Format listed below:

A. Proposal Format

STEP I:(APPLICABLE TO ALL PROPOSERS)

Technical Proposal

(Please refer to Section IV - B - STEP I: Technical Proposal Package Contents ("Checklist"))

- **Proposal Cover Letter**
The Proposal Cover Letter form (**Attachment A**) transmits the proposer's Proposal Package to the Department. It should be completed, signed and dated by an authorized representative of the proposer.
- **Technical Proposal**
The technical proposal is a clear, concise narrative that addresses the criteria outlined in **Appendix B**.
- **Form 1**
- **Acknowledgment of Addenda/Step I**
The Acknowledgment of Addenda / Step I form (Appendix C) serves as the proposer's acknowledgment of the receipt of addenda to this RFP which may have been issued by the Department prior to the Technical Proposal Due Date and Time. The proposer should complete this form as instructed on the form.
- **Tax Affirmation (Appendix D)**
- **Form 6T – DBE Participation Form**
- **Form 330 – Standard Form**

STEP II:APPLICABLE ONLY TO SHORT LISTED PROPOSERS
See Step II of the Section V: Evaluation Procedures

Price Proposal

(Please refer to Section IV: B - STEP II Price Proposal Package Contents (“Checklist”))

- **Price Proposal:** The price proposal comprises of Section 1.02 of Book 1
- **Progress Payment Schedule** – Outlined in Appendix E.
- **Acknowledgment of Addenda** (Appendix F)

- **Performance Outcome Measures and Financial Incentives and/or Disincentives**

List and describe outcome measures of the work to be performed by the proposer under the contract and the related financial incentives and/or disincentives that could potentially be applied to the contract, in whole or in part, as a reliable means for measuring and paying for success, as described in the “Scope of Services” section of the RFP. The Department’s assumptions regarding performance outcome measures and related financial incentives and/or disincentives represent what the Department believes to be the best approach. However, proposers are encouraged to propose measures, incentives and disincentives which they believe will best achieve the Department’s goals and objectives in a cost-effective manner. While the proposer’s proposed performance outcome measures and related financial incentives and/or disincentives will not be scored by the Department’s Evaluation Committee, they may be considered by the Department in awarding the contract and structuring its payments to contractors.

B. Proposal Package Contents (“Checklist”)

STEP I. Technical Proposal Package Contents (“Checklist”)

Proposers should utilize this section as a “checklist” to assure completeness prior to submitting their proposal to the Department.

A sealed inner envelope labeled “Technical Proposal” containing one original set and the stated number (in the parentheses, if any) of duplicate sets of the documents listed below in the following order:

- Proposal Cover Letter Form (**Attachment A**)
- Narrative and any drawings the proposer may deem appropriate in response to the guidelines of **Appendix B** (6 copies).
- Form 1 (6 copies)
- Acknowledgment of Addenda (**Appendix C**)
- Tax Affirmation (**Appendix D**)
- 6T – DBE Participation
- SF330 – Standard Form

A sealed outer envelope, enclosing the sealed inner envelope:

Address all packages, outer envelopes or wrappers as follows:

Proposer's Name	NYCDOT Contract Section
Address	40 Worth Street 8th Floor, Room 824A New York, New York 10013
PIN No. 84106SIBR096	
CONTRACT NO. HBR1217	
DESIGN, CONSTRUCTION AND CONSTRUCTION SUPPORT SERVICES FOR THE REHABILITATION OF THE ST. GEORGE STATEN ISLAND FERRY TERMINAL RAMPS	
BOROUGH OF STATEN ISLAND	
PROPOSAL SUBMISSION DEADLINE IS DECEMBER 19, 2007	
NO LATER THAN 2:00 PM	

STEP II Price Proposal Package Contents (“Checklist”)

The package should be sealed in a manner similar to the Technical Proposal Package and contain the items identified in Appendix E, as follows:

- Price Proposal – Section 1.02, Book 1 of 2 of the RFP (only 1 original)
- Exhibit C – (Book 2 of 2) Progress Payment Schedule (only 1 original)
- Acknowledgement of Addenda (Appendix F)

SECTION V: PROPOSAL EVALUATION AND CONTRACT AWARD PROCEDURES

A. Evaluation Procedures

All proposals accepted by the Agency will be reviewed to determine whether they are responsive or non-responsive to the requisites of this RFP. Proposals that are determined by the agency to be non-responsive will be rejected. The Agency's Evaluation Committee will evaluate and rate all remaining proposals based on the Evaluation Criteria prescribed below.

The following two (2) step selection process will be used:

STEP I - Submission of Technical Proposal and establishment of a List of Top Qualified Proposers

Proposers are to submit by the applicable due date and time prescribed in the RFP (See Section I - Timetable), a Technical Proposal that addresses the information requested in Appendix B - and Form 1.

B. Evaluation Criteria

Evaluation of Technical Proposal

The Evaluation Committee will rate all responsive Technical Proposals pursuant to the following criteria:

A. Project Delivery Related Criteria

A1.	Maintenance and protection of Traffic, staging and sequencing of operations	15%
A2.	Understanding of scope, DOT's goals and objectives; required design approvals and permits; quality assurance and quality control; constructability of proposed scheme; ability to anticipate problems and provide solutions; and long term maintenance considerations.	15%

B. Impact and Coordination Related Criteria

B1.	Anticipated issues with SIRTOA and plan to address them. Impact of contractor's scheme to SIRTOA's operations and Force Account costs.	8%
B2.	Anticipated issues with MTA buses and plan to address them. Impact of proposed scheme to MTA's operations.	5%
B3.	Impact to and coordination with DOT-Ferries. Impact to parking lot and taxi services	5%

C. Schedule

	Ability to support proposed contract and construction duration and ability to support proposed durations through a coherent and detailed CPM Schedule and through a demonstration of company resources, personnel, equipment and bonding capability	17%
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D.	Organizational Chart, Relevant Experience of Firm (Consultant and Contractor) and Relevant Experience of Key Personnel	15%
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E.	Safety Record and Specific Safety Concerns for this Project and Plan to Address them	10%
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F. Other

F1.	Community outreach and ability to address last minute community requests	7%
F2.	Environmental and aesthetic considerations	3%

100%

Establishment of a "Short-List" of Best Rated Firms Based on Technical Proposals

Upon completion of the evaluation of the Technical Proposal, proposers will be ranked in descending order of score. A "short-list" of only those proposers who submit highly relevant and acceptable proposals with better than adequate understanding of approach and innovativeness in most project areas, which have scored 80% or higher, will be established. Proposers not included on the "short-list" will not be further considered.

- All proposers will be notified in writing as to whether or not they are included on the "short-list".

Upon completion of the evaluation of Technical Proposals, each short-listed proposer will be invited to make an oral presentation in support of their proposal to the Evaluation Committee. As a result of a proposer's oral presentation, the Evaluation Committee may request that a proposer submit written clarifications to their proposal. Subsequent to any such presentations, the Evaluation Committee will prepare revised rating sheets.

Based upon the information provided at the oral presentations and, where applicable, written clarifications to technical proposals, the Evaluation Committee will have the opportunity to revise the proposers' Technical Scores, as appropriate. Proposers will then be re-ranked in descending order based on their final Technical Scores.

STEP II – SUBMISSION OF PRICE PROPOSALS

Proposers whose proposals are included on the "short list" will submit, by the due date and time requested by DOT, a Price Proposal that addresses the information requested in Appendix E. Each Price Proposal for the short-listed proposers will be opened and reviewed by the evaluation committee to determine whether it is responsive or non-responsive. Price Proposals determined to be non-responsive will be eliminated from further consideration.

Following analysis of the responsive price proposals by the selection committee, a price per technical point value for each short listed firm will be determined by dividing each proposed price by their corresponding final rating. The proposer that offers the lowest price per technical point will be recommended for award and invited for contract negotiations.

The final selection will be made of that proposal which is deemed to be most advantageous to the city.

The agency shall rank proposers by technical merit, and then consider price by negotiating a fair and reasonable price with the proposer that offers the lowest price per technical point. In the event that the agency has chosen to negotiate a fair and reasonable price with the proposer that offers the lowest price per technical point, if such a fee is not successfully negotiated, the agency may conclude such negotiations, and enter into negotiations with the next proposer(s) that offers the lowest price per technical point, as necessary.

Although discussions may be conducted with proposers submitting acceptable proposals, the Agency reserves the right to award contract on the basis of initial proposals received, without discussions. Therefore, the proposer's initial proposal should contain its best technical and price terms.

C: Basis for Contract Award

A contract will be awarded to the responsible proposer whose proposal is determined to be the most advantageous to the City, taking into consideration the overall cost to the City and such other factors or criteria that are set forth in this RFP.

D: Conflict of Interest

- Any company awarded, either as a Prime Consultant or Sub-Consultant, the Resident Engineering Inspection Services for the Rehabilitation of the Rehabilitation of the St. George Staten Island Ferry Terminal Ramps, Borough of Staten Island, shall not be eligible for award of this design build Contract.
- Further, the successful proposer (and its subconsultants) is prohibited from knowingly receiving any advice or discussing any aspect relating to this contract or the procurement of the Design Build contract with any person or entity with an organizational conflict of interest. It is the firm's obligation to bring forth any conflict of interest that would prevent them from performing properly on this or the related Resident Engineering Inspection Services contract.

SECTION VI - GENERAL INFORMATION TO PROPOSERS

A. Complaints. The New York City Comptroller is charged with the audit of contracts in New York City. Any proposer who believes that there has been unfairness, favoritism or impropriety in the proposal process should inform the Comptroller, Office of Contract Administration, 1 Centre Street, Room 1005, New York, NY 10007; the telephone number is (212) 669-3173. In addition, the New York City Department of Investigation should be informed of such complaints at its Investigations Division, 80 Maiden Lane, New York, NY 10038; the telephone number is (212) 825-5959.

B. Applicable Laws. This Request for Proposals and the resulting contract award(s), if any, unless otherwise stated, are subject to all applicable provisions of New York State Law, the New York City Administrative Code, New York City Charter and New York City Procurement Policy Board (PPB) Rules. A copy of the PPB Rules may be obtained by contacting the PPB at (212) 788-0010.

C. General Contract Provisions. Contracts shall be subject to New York City's general contract provisions, in substantially the form that they appear in "Appendix A"- General Provisions Governing Contracts for Consultants, Professional and Technical Services' or , if the Agency utilizes other than the formal Appendix A, in substantially the form that they appear in the Agency's general contract provisions. If a copy of the applicable document is not attached, it is available through the Authorized Agency Contact Person.

D. Contract Award. Contract award is subject to each of the following applicable conditions: New York City Fair Share Criteria; New York City MacBride Principles Law; submission by the proposer of the New York City Department of Business Services/Division of Labor Services Employment Report and certification by that office; submission by the proposer of the requisite VENDEX Questionnaires/Certificate of No Change and review of the information contained therein by the New York City Department of Investigation; all other required oversight approvals; applicable provisions of federal, state and local laws and executive orders requiring affirmative action and equal employment opportunity; and Section 6-108.1 of the New York City Administrative Code relating to the Local Based Enterprises program and its implementation rules.

E. Proposer Appeal Rights. Pursuant to New York City's Procurement Policy Board Rules, proposers have the right to appeal agency non-responsiveness determinations and agency non-responsibility determinations and to protest an agency's determination regarding the solicitation or award of a contract.

F. Multi-Year Contracts. Multi-year contracts are subject to modification or cancellation if adequate funds are not appropriated to the agency to support continuation of performance in any City fiscal year succeeding the first fiscal year and/or if the contractor's performance is not satisfactory. The agency will notify the contractor as soon as is practicable that the funds are, or are not, available for the continuation of the multi-year contract for each succeeding City fiscal year. In the event of cancellation, the contractor will be reimbursed for those costs, if any, which are so provided for in the contract.

G. Prompt Payment Policy. Pursuant to the New York City's Procurement Policy Board Rules, it is the policy of the City to process contract payments efficiently and expeditiously.

H. Prices Irrevocable. Prices proposed by the proposer shall be irrevocable until contract award, unless the proposal is withdrawn. Proposals may only be withdrawn by submitting a written request to the agency prior to contract award but after the expiration of 90 days after the opening of proposals. This shall not limit the discretion of the agency to request proposers to revise proposed prices through the submission of best and final offers and/or the conduct of negotiations.

I. Confidential, Proprietary Information or Trade Secrets. Proposers should give specific attention to the identification of those portions of their proposals that they deem to be confidential, proprietary information or trade secrets and provide any justification of why such materials, upon request, should not be disclosed by the City. Such information must be easily separable from the non-confidential sections of the proposal.

J. RFP Postponement/Cancellation. The agency reserves the right to postpone or cancel this RFP, in whole or in part, and to reject all proposals.

K. Proposer Costs. Proposers will not be reimbursed for any costs incurred to prepare proposals.

L. Charter Section 312(a) Certification.

The New York City Department of Transportation has determined that the contract to be awarded through this Request for Proposals (PIN 84106SIBR096) for Design, Construction and Construction Support Services in connection with the Rehabilitation of the St. George Staten Island Ferry Terminal Ramps in the Borough of Staten Island will not directly result in the displacement of any New York City employee.

Agency Chief Contracting Officer

Date

**Message from the New York City Vendor Enrollment Center
Get on mailing lists for New York City contract opportunities!
Submit a NYC-FMS Vendor Application - Call 212/857-1680**

SECTION VII

ATTACHMENTS

1. ATTACHMENT A: PROPOSAL COVER LETTER
2. APPENDIX B: TECHNICAL PROPOSAL FORMAT
3. FORM 1: KEY PERSONNEL - DESIGN, CSS & CONSTRUCTION
4. APPENDIX C: ACKNOWLEDGMENT OF ADDENDA/STEP I
5. APPENDIX D: AFFIRMATION
6. APPENDIX E : PRICE PROPOSAL FORMAT
7. APPENDIX F: ACKNOWLEDGMENT OF ADDENDA/STEP II
8. APPENDIX G: VENDEX REQUIREMENTS & CONFIRMATION OF VENDEX COMPLIANCE
9. FORM 6T: DBE PARTICIPATION
10. FORM 330: STANDARD FORM

ATTACHMENT A

PROPOSAL COVER LETTER

**Request for Proposals for Design, Construction and Construction Support Services
In Connection with
the Rehabilitation of the
St. George Staten Island Ferry Terminal Ramps
Borough of Staten Island
Contract No. HBR1217 PIN 84106SIBR096**

Proposer:

Name: _____

Address: _____

Tax Identification #: _____

Proposer's Contact Person:

Name: _____

Title: _____

Telephone #: _____ **Fax #:** _____ **Email:** _____

Proposer's Authorized Representative:

Name: _____

Title: _____

Telephone #: _____ **Fax #:** _____ **Email:** _____

Signature: _____ **Date:** _____

Is the response printed on both sides, on recycled paper containing the minimum percentage of recovered fiber content as requested by the City in the instructions to this solicitation?

Yes **No**

APPENDIX B

TECHNICAL PROPOSAL FORMAT

All proposers are requested to provide responses to each of the following criteria listed below as part of their Technical Proposals.

A. PROJECT DELIVERY

A1. MAINTENANCE AND PROTECTION OF TRAFFIC (MPT), STAGING AND SEQUENCE OF OPERATIONS

The MPT scheme is to comply with all requirements outlined in the relevant section of the Request For Proposal. The proposer must explain how his operations will affect traffic on Richmond Terrace and in the terminal. This also includes delivery of materials and staging of construction equipment. Special attention is to be given to impact to pedestrians and ability to minimize vehicular/pedestrian conflicts during construction.

The sequencing of operations is of the utmost importance for the execution of this project. The proposer must explain the rationale of its proposed sequence as it relates to the ability to deliver within the identified time frames as well as meet other project parameters such as approval and permitting process, ability to obtain outages / flagging by SIRTOA, MTA-Buses, and seasonal considerations. Special attention must also be given to such specifics of construction activities as placement of equipment, work zones, etc. and as to how these considerations may affect the staging and construction sequencing.

A2. UNDERSTANDING OF SCOPE OF SERVICES, PROJECT GOALS AND OBJECTIVES, DESIGN APPROVALS AND PERMITTING, ANTICIPATED PROBLEMS AND SOLUTIONS, CONSTRUCTABILITY, QUALITY ASSURANCE AND QUALITY CONTROL, MAINTENANCE CONSIDERATIONS

The proposer must clearly demonstrate its understanding of the scope of the project as well as DOT's goals and objectives. The proposer must be able to identify the required tasks for this project and outline which of them are independent and which are inter-related. The proposer must identify the design approvals and permitting required and explain how these considerations may affect his proposed scheme as well as his schedule and sequence of operations. The proposer is also asked to identify all potential problematic areas and his plan to address them. These areas should include but not necessarily limited to: coordination issues with affected entities such as SIRTOA, coordination with other on-site contractors, last minute community requests, unidentified field conditions, design problems, fabrication problems, availability of material issued, ability to deliver at the site, noise and pollution related problems, etc. The proposer is also to elaborate on constructability issues and his plan to address them in a way that will still provide DOT a superior quality product. Proposers are expected to elaborate on the maintenance requirements and long-term costs associated with their proposed product and explain the advantages and disadvantages such product offers within its specified life span.

Finally, yet importantly, the Company must identify its existing policies and procedures for Quality Assurance /Quality Control and provide a copy of the Company's proposed Quality Assurance/Quality Control Procedures. The Company must list the previous experience of the team members involving design and construction Quality Assurance (QA) and Quality Control (QC) programs and describe how the Company has structured the QA/QC function so as to ensure independent and professional QA/QC decisions and control. The proposal should describe the anticipated role between the REI and QA/QC Personnel.

B. IMPACT AND CO-ORDINATION

B1. ISSUES WITH SIRTOA AND PLAN TO ADDRESS THEM, IMPACT ON SIRTOA'S OPERATIONS AND FORCE ACCOUNT COSTS ASSOCIATED WITH PROPOSED SCHEME

Proposers are expected to identify all potential issues with SIRTOA and must demonstrate they have a plan in place to address them. Such issues include, but are not limited to, technical requirements, and availability of flaggers. Proposers are also expected to identify how their scheme will impact SIRTOA's operations as well as the commuters utilizing the railroad, including their ability to safely access the railroad platforms. NYCDOT has set a separate Force Account Agreement with NYCT and has identified a contingency amount in the event the originally established cost is exceeded. Proposers though are requested, as part of their technical write-up, to independently evaluate and explain how the proposed NYCT support services as identified in the relevant Railroad Exhibit of Book 2 of the RFP could be affected as a result of their proposed scheme. Although proposers are not asked to respond in quantitative terms, it is expected that they should bring up any major concerns they have or significant pitfalls that might exist and provide a recommendation to address them.

B2. COORDINATION WITH AND IMPACT ON MTA BUSES

Proposers are expected to elaborate on the impact of their operations to the bus service and provide a plan to mitigate such impact. In addition, proposers are to address how issues of coordination with MTA-Buses could affect the execution of the contract.

B3. CO-ORDINATION WITH AND IMPACT ON FERRIES OPERATIONS, PARKING LOT AND TAXI SERVICES

Proposers are to elaborate on the impact of their operations to Ferry commuters, DOT-Ferries internal operations, parking lot space, and access to taxi services. Proposers are also to address how they can best co-ordinate with each of these affected entities.

C. SCHEDULE

The maximum specified contract duration is 4 years with a maximum of 3 years dedicated to construction activities. However, proposers are expected to use innovative construction methodologies, best scheduling practices and management control in order to further minimize such durations. Proposers are requested, as part of Schedule A, to identify their proposed contract and construction duration. Special consideration will be given to those firms that offer durations below those identified by NYCDOT as maximums, if such durations are backed up by a detailed CPM schedule that clearly identifies the necessary activities and time frames for the execution of the work and demonstrated company resources, including personnel, equipment, and bonding capability, that will be available to support such schedule. The rational and validity of such CPM schedule will be evaluated in order for NYCDOT to form an educated opinion as to the proposed durations. In addition, the proposer shall indicate its company's resources as follows:

The proposer must demonstrate that the Company ("The contracting entity") has been (or will be) properly formed and has (or will have) due power to enter into the Design/Build contract. Describe the entity that will enter into the contract, indicating key personnel of the Company and his /her legally binding relationship with the proposed contracting entity. If the contracting entity is already formed, provide copies of the organizational documents. If the contracting entity is not yet formed, provide a letter of agreement signed by the members of the Company who will have ownership interest, setting forth their intention to enter into appropriate documentation to form the entity. The Company must indicate the financial resources that will be made available and from what source to perform the work for the project. The Company must also demonstrate that appropriate financial resources will be committed to the contracting entity in order to perform the work. Does the Company have a method in place for addressing claims, contract modifications and schedule recovery to maintain the completion date? The Company must furnish examples of projects in which the major participants have completed their task ahead of schedule and/or below budget, including an explanation of how this was accomplished. Also, the Company must give examples of projects their completed over railroads, especially New York City Transit. The proposal should discuss quantitatively how this project would impact the current and anticipated workload of the offices performing this work. If staff increases will be necessary, the proposer must discuss in which areas and how that would be accomplished. The Company must identify its expected community involvement and previous projects in which members of the team have worked together.

D. ORGANIZATIONAL CHART AND RELEVANT EXPERIENCE OF FIRM AND KEY PERSONNEL

Organizational Chart

Provide organization chart(s) that show(s) the functional structure of the Company and all major participants. Include the names of key personnel.

Identify the legal structure of the Design/Build Company and/or consortium of firms. Describe the business experience of the firm(s) relative to the proposed project.

Identify and document how suggested lines of authority, essential management function and proposed interaction of contractor, consultant and NYCDOT best serve: quality of design, quality of construction, communication with third parties (private utilities, community boards, SIRTOA, MTA-Buses, DOT Ferries, other city agencies, etc.)

If significant changes to the organizational structure of the Company are planned for different phases of the project, separate charts should be provided for each phase. The rationale for such changes should be provided.

Consultant Experience

The Department will consider for the staff assigned to this project and for the Company as a whole:

- Bridge design experience
- Managerial experience on bridge design projects for federally funded NYCDOT projects
- Managerial experience on projects above \$50 million
- CSS experience
- References such as: Consultant Performance Evaluations records, statements of previous jobs.
- Familiarity with SIRTOA, MTA-Buses, Terminal Operations, and Art Commission.

Contractor Experience

The Department will consider for the staff assigned to this project and for the Company as a whole:

- Bridge construction experience
- Bridge construction experience on a managerial level
- Bridge construction experience on projects \$50 million and above
- Number and magnitude of projects undertaken within the last five years
- Number and magnitude of projects that required NYCT involvement
- Number of the above mentioned projects that finished within the expected construction duration as it was stated at the time of the bid; excepting unforeseen field conditions
- Number of the above mentioned projects that did not raise significant complaints from the community
- Contractor performance evaluations record

Key Personnel

Provide résumés for all individuals identified in Form 1, (Key Personnel – Design, CSS, and Construction), including their qualifications and experience. Only these résumés shall be evaluated for technical points. Provide a brief discussion that addresses the following:

Principal-in-Charge: Identify the person(s) who will be responsible for ensuring that adequate personnel and other resources are made available for this project; will handle contractual matters; and will be ultimately responsible for the quality and timeliness of the Company's performance. State that person's position and authority within the Company. Discuss previous similar projects for which this person has performed a similar function and identify total years of relevant experience.

Design Project Manager: State who will actively manage the design of this project. Identify any other projects that person may be involved with, and indicate time committed to each project. List recent similar projects for which this person has performed a comparable function. Discuss relevant experience, professional licenses, registrations, education and other qualifications applicable to this project.

Construction Support Services Manager: State who will actively manage the construction support of this project. Identify any other projects that person may be involved with, and indicate time committed to each project. List recent similar projects for which this person has performed a comparable function. Discuss relevant experience, professional licenses, registrations, education and other qualifications applicable to this project.

Dedicated Design / CSS Engineer at the field office: The team is to assign a licensed engineer to address all issues that may arise during construction. He/she is to be located in the field office from the day of site mobilization to the day of substantial completion.

Construction Project Manager: State who will actively manage the construction of this project. Identify any projects that person will be involved with concurrently and time committed to each project. List recent similar projects for which this person has performed a comparable function. Discuss relevant experience, professional licenses, registrations, education and other qualifications applicable to this project. Individual should have at least three years of experience in the construction of similar projects.

Project Engineer(s), Superintendents: Identify other members of the project team including subconsultants/subcontractors that provide special expertise or will perform key tasks. Describe their anticipated roles and previous experience. A minimum of two dedicated superintendents is to be provided.

Quality Assurance / Quality Control Personnel: Identify Key Personnel for the QA/QC team, for design and construction phases, previous experience, and extent of anticipated involvement.

Note: The Design Project Manager, Construction Support Services Manager should devote at least 50% of their time exclusively to this project. Construction Project Manager and Project Superintendents should devote 100% of his/her time to this project. Also, the Design / CSS Engineer assigned to the site should devote 100% of his time on this job upon construction commencement. The Company should also demonstrate how it would achieve continuity between the design and construction phases of the project.

E. SAFETY RECORD AND SPECIFIC SAFETY CONCERNS FOR THIS PROJECT

- Provide an overview of the Company's safety program.
- Provide documentation of the past 5 years safety record on all construction projects (i.e. OSHA citations-list circumstances and outcome, etc.) in the New York Metropolitan area (75 miles from Columbus Circle).
- List OSHA-rated qualified persons who will be assigned to the project.
- List specific safety concerns for this design-build project and indicate the company's plan to address them.
- List individuals holding First Aid certification who will be assigned to the project.
Submit résumé of the Company's Safety Officer.

F. OTHER CONSIDERATION

F1. COMMUNITY OUTREACH / INVOLVEMENT

Proposers must outline their plan for community outreach and demonstrate the services it will provide. Special consideration should be given to proposers who offer the public access to reach a live community liaison to address its concerns within reasonable time frames. Special consideration will be given to those proposers whose community outreach entity has a proven history of working on very political and visible projects where daily communication and appropriate handling of issues are extremely importance. Last but not least, proposers must demonstrate their ability to address last minute requests from the public and must clearly outline what will be the lines of communication to achieve this. Proposers must elaborate on the appropriateness, readiness and level of interaction between the community liaison person, the Company's project manager and NYCDOT's project manager.

F2. ENVIRONMENTAL AND AESTHETICS CONSIDERATIONS

The proposers are to provide an architectural rendering that will enable proposal evaluators to envision the aesthetics of the completed product. The appropriateness of the proposed aesthetics as they relate to the current theme of the terminal will be evaluated. The proposer's ability to obtain timely Art Commission approval will also be considered. Last but not least, proposers are to elaborate on the environmental aspects of their proposed scheme especially as it relates to noise, pollution, dust control, lead exposure and disposal, and handling of contaminated materials.

3.

DESIGN

	Name	Consultant Firm	% time committed to project
Principal -In-Charge	_____	_____	_____
Project Manager	_____	_____	_____
Quality Control Engineer	_____	_____	_____
Project Engineer(s)	_____	_____	_____
Structural	_____	_____	_____
Utilities	_____	_____	_____
MPT	_____	_____	_____
Geotechnical Engineer*	_____	_____	_____
Community Outreach*	_____	_____	_____
Architect*	_____	_____	_____
Environmental/Painting Expert (*)	_____	_____	_____

No firm, organization or individuals named as part of the Company and whose experience the company relies upon to support its application for qualifications, can be a member of any other Company proposing on this project, excluding those positions marked with an asterisk (*).

Individuals may have dual roles.

4.

CONSTRUCTION SUPPORT SERVICES

	Name	Consultant Firm	% time committed to project
Principal -In-Charge	_____	_____	_____
Project Manager	_____	_____	_____
Project Engineer (Project Engineer must be located at the site upon site mobilization and up to substantial completion)	_____	_____	_____

5.

CONSTRUCTION

	Name	Construction Firm	% time committed to project
Principal -In-Charge	_____	_____	_____
Project Manager	_____	_____	_____
Project Superintendents	_____	_____	_____
Scheduler	_____	_____	_____
Quality Control	_____	_____	_____
Painting Contractor*	_____	_____	_____

APPENDIX C

ACKNOWLEDGMENT OF ADDENDA/STEP I

NOTE: This page must be submitted with the Technical Proposal of the RFP.

RFP TITLE: _____

PIN: _____

Directions: Complete Part I or Part II, whichever is applicable, and sign your name in Part III.

Part I

Listed below are the dates of issue for each Addendum received in connection with this RFP:

Addendum # 1, Dated: _____

Addendum # 2, Dated _____

Addendum # 3, Dated _____

Addendum # 4, Dated _____

Addendum # 5, Dated _____

Addendum # 6, Dated _____

Addendum # 7, Dated _____

Addendum # 8, Dated _____

Addendum # 9, Dated _____

Addendum #10, Dated _____

Part II Acknowledgement of No Receipt

_____ No Addendum was received in connection with this RFP.

Part III

Proposer's Name: _____

Proposer's Authorized Representative:

Name: _____

Title: _____

Signature: _____

Date: _____

APPENDIX D

AFFIRMATION

The undersigned proposer or bidder affirms and declares that said proposer or bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the proposer or bidder to receive public contracts

Except _____

Full Name of Proposer or Bidder

Address

City State Zip Code

Check below and include appropriate number:

_____ Individual or Sole Proprietorship *
Social Security Number _____ - _____ - _____

_____ Partnership, Joint Venture or unincorporated company
Employer Identification Number _____ - _____ - _____

_____ Corporation
Employer Identification Number _____ - _____ - _____

If a corporation, place seal here:

by Signature _____

Print Name _____

Title _____

Must be signed by an officer or duly authorized representative.

* Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers, or vendors to ensure their compliance with laws, to assist the City in enforcement of laws as well as to provide the City a means of identifying of businesses which seek City contracts.

APPENDIX E

PRICE PROPOSAL SHEET

Item No.	Item	Construction	CSS	Design	Dollars in Figures
1	Mobilization Cost (NTE 4%)				
2	Permits, Bonds, Insurances, and Upfront Coordinations (NTE 6%)				
3	Engineer's Office/PC/Supplies				
4	Community Outreach				
5	Traffic Study				
BIN 2270180 (Ramp A)					
6	Maintenance & Protection of Traffic				
7	Demolition / Temporary Shielding				
8	Substructure Rehabilitation				
9	Superstructure Replacement (Including Beams, Deck & Barrier)				
10	Roadway Approach / Plaza Restoration Work				
BIN 2269770 (Ramp B)					
11	Maintenance & Protection of Traffic				
12	Demolition / Temporary Shielding				
13	Substructure Rehabilitation				
14	Deck Reconstruction (Including Parapets, Sidewalk & Fencing)				
15	Cleaning and Painting Existing Steel (Lead Abatement Required)				
16	Structural Steel Repair & Brg Replacement				
17	Bridge Drainage Work				
18	Bridge Lighting Work				
19	Pigeon Deterrent System				
19	Roadway/Approach Work				
BIN 2269780 (Ramp C)					
20	Maintenance & Protection of Traffic				
21	Demolition / Temporary Shielding				
22	Substructure Rehabilitation				
23	Deck Reconstruction (Including Parapets, Sidewalk & Fencing)				
24	Cleaning and Painting Existing Steel (Lead Abatement Required)				
25	Structural Steel Repair & Brg Replacement				
26	Bridge Drainage Work				
27	Bridge Lighting Work				
28	Roadway/Approach Work				
BIN 2269730 (Ramp D)					
29	Maintenance & Protection of Traffic				
30	Demolition / Temporary Shielding				
31	Substructure Rehabilitation				
32	Deck Reconstruction (Including Parapets, Sidewalk & Fencing)				

Item No.	Item	Construction	CSS	Design	Dollars in Figures
33	Cleaning and Painting Exiting Steel (Lead Abatement Required)				
34	Structural Steel Repair & Brg Replacement				
35	Bridge Drainage Work				
36	Bridge Lighting Work				
37	Pigeon Deterrent System				
38	Roadway/Approach Work				
BIN 2269740 (Bus Station North)					
39	Maintenance & Protection of Traffic				
40	Demolition / Temporary Shielding				
41	Encasement Repair				
42	Deck Repair (Including Waterproofing Membrane)				
43	Deck Reconstruction (Including Barrier, Sidewalk & Fencing)				
44	Cleaning and Painting Exiting Steel (Lead Abatement Required)				
45	Structural Steel Repair				
46	Bridge Drainage Work				
47	Bridge Lighting Work				
48	Pigeon Deterrent System				
BIN 2269750 (Bus Station South)					
49	Maintenance & Protection of Traffic				
50	Demolition / Temporary Shielding				
51	Deck Reconstruction (Including Barrier, Sidewalk & Fencing)				
52	Bus Canopy Brick Wall Reconstruction				
53	Cleaning and Painting Exiting Steel (Lead Abatement Required)				
54	Structural Steel Repair				
55	Bridge Drainage Work				
56	Bridge Lighting Work				
57	Pigeon Deterrent System				
BIN 2269790 (Old Viaduct)					
58	Maintenance & Protection of Traffic				
59	Demolition / Temporary Shielding / Encasement Removal				
60	Substructure Rehabilitation				
61	Deck Reconstruction (Including Parapet, Sidewalk, N. Fascia Railing & Fencing)				
62	Cleaning and Painting Exiting Steel (No Lead Abatement Required)				
63	Bearing Replacement				
64	Inspection, Evaluation and Recommendation for Steel Repair Work After Encasement Removal (Construction Price to be Negotiated)				
65	Bridge Drainage Work				

Item No.	Item	Construction	CSS	Design	Dollars in Figures
66	Bridge Lighting Work				
67	Pigeon Deterrent System				
68	Roadway/Approach Work				
BIN 2270170 (Pedestrian Breezeway)					
69	Demolition / Temporary Shielding / Removal of Stairs to Ramp B				
70	Conc. Column Base Repair				
71	Deck Reconstruction				
72	Cleaning and Painting Exiting Steel (Lead Abatement Required)				
73	Structural Steel Repair / Mod. to S. Fascia Girder (Due to Stair Removal)				
74	Roof Replacement (Asbestos Abatement Required)				
75	Bridge Lighting Work				
76	Pigeon Deterrent System				
BIN 2269760 (North Ramp)					
77	Maintenance & Protection of Traffic				
78	Reconstruction of SIRTOA Stadium Station Stairs				
79	Clearing Site / Foundation Excavation				
80	Hauling and Disposal of Contaminated Excess Excavation / Concrete Demo Material Classified as Non-Hazardous, Non-Petroleum Industrial Waste (Assume 30 Tons)				
81	Hauling and Disposal of Contaminated Excess Excavation / Concrete Demo Material Classified as Non-Hazardous, Petroleum Contaminated Waste (Assume 30 Tons)				
82	Hauling and Disposal of Contaminated Excess Excavation / Concrete Demo Material Classified as Hazardous Waste (Assume 10 Tons)				
83	Bridge Foundation				
84	Bridge Substructure Including Richmond Terrace Abutment Modification				
85	Bridge Superstructure				
86	Ramp Foundation				
87	Ramp Retaining Wall, Sidewalk and Barriers				
88	Ramp Fill and Paving				
89	Bridge / Ramp Drainage				
90	Bridge / Ramp Lighting				
91	Approach Tie-In Work				
92	Restoration of EDC Parking Lot				
North Municipal Parking Lot					
93	Temporary Relocation of Taxi				

Item No.	Item	Construction	CSS	Design	Dollars in Figures
	Stand				
94	Clearing Site				
95	Re-Paving and Stripping Site				
96	Site Drainage and Lighting				
Bus Canopy Package					
97	Bus Canopy (Architectural Work excluding Brick Wall Modifications)				
98	Bus Canopy (Electrical Work incl. Holding Lights & PA System Upgrade)				
99	Automatic Doors				
100	Vestibule Work				
101	Lifting Hooks				
102	Public Utilities (including Replacement of Underground Site Drainage System)				
103	Demobilization (Including Site Restoration)				
104	Allowance for Architectural Enhancements (Bus Canopies and Pedestrian Bridge)				\$1,000,000.00
105	Allowance for Flag Repairs				\$1,000,000.00
106	Incidental Repairs				\$3,000,000.00
107	Incentive (NYC-1943)				\$5,000,000.00
	Total				

The total of the foregoing price proposal based on the Company's Estimate of Items of Work is (in words):

APPENDIX F

ACKNOWLEDGMENT OF ADDENDA/STEP II

NOTE: This page must be submitted with the Price Proposal of the RFP.

RFP TITLE: _____

PIN: _____

Directions: Complete Part I or Part II, whichever is applicable, and sign your name in Part III.

Part I

Listed below are the dates of issue for each Addendum received in connection with this RFP:

Addendum # 1, Dated: _____

Addendum # 2, Dated _____

Addendum # 3, Dated _____

Addendum # 4, Dated _____

Addendum # 5, Dated _____

Addendum # 6, Dated _____

Addendum # 7, Dated _____

Addendum # 8, Dated _____

Addendum # 9, Dated _____

Addendum #10, Dated _____

Part II Acknowledgement of No Receipt

_____ No Addendum was received in connection with this RFP.

Part III

Proposer's Name: _____

Proposer's Authorized Representative:

Name: _____

Title: _____

Signature: _____

Date: _____

APPENDIX G

VENDEX Requirements

Pursuant to Section 6-112.2(b) of the New York City Administrative Code, all vendors that are under consideration for the award of a sole source contract or a contract valued at \$100,000 or more, or whose aggregate business with the City in the last 12 months, including the proposed contract, is \$100,000 or more, must complete and submit a VENDEX Business Entity or Not-For-Profit Organization Questionnaire when notified by the Agency. The principals and individuals thereof must also submit a Principal of Individual Questionnaire.

Upon selection, each successful proposer (including their subconsultants) will be required to submit proof of filing of the appropriate VENDEX Questionnaires. Upon written notification, the proposer must submit a Confirmation of VENDEX Compliance to the NYCDOT Vendor Responsibility Unit within five days of official notification. (Section VII, Attachment G). A form for this confirmation is set forth in the RFP.

The proposer is advised that VENDEX Questionnaires and procedures have changed. See www.nyc.gov/vendex to download the new VENDEX Questionnaires and a Vendor's Guide to VENDEX or contact NYCDOT's Vendor Responsibility Unit. at 212-442-7757.

(a) Submission: VENDEX Questionnaires (if required) must be submitted directly to the Mayor's Office of Contract Services, ATTN: VENDEX, 253 Broadway, 9th Floor, New York, New York 10007.

(b) Requirement: Pursuant to Administrative Code Section 6-116.2 and the PPB Rules, proposers may be obligated to complete and submit VENDEX Questionnaires. If required, Vendex Questionnaires must be completed and submitted before any award of contract may be made or before approval is given for a proposed subcontractor. Non-compliance with these submission requirements may result in the disqualification of the proposal, disapproval of a subcontractor, subsequent withdrawal of approval for the use of an approved subcontractor, or the cancellation of the contract after award.

ATTACHMENT TO APPENDIX G

CONFIRMATION OF VENDEX COMPLIANCE
--

The Proposer (including its subconsultant) shall submit this Confirmation of VENDEX Compliance

Name of Proposer: _____

Proposer's Address: _____

Proposer's Telephone Number: _____

Proposer's Fax Number: _____

Date of Proposal Submission: _____

Project ID: _____

VENDEX Compliance: To demonstrate compliance with VENDEX requirements, the Proposer shall complete either Section (1) or Section (2) below, whichever applies.

(1) **Submission of Questionnaires to MOC:** By signing in the space provided below, the Proposer certifies that as of the date specified below, the Proposer has submitted VENDEX Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9th Floor, New York, New York 10007.

Date of Submission: _____

By: _____
(Signature of Partner or corporate officer)

Print Name: _____

(2) **Submission of Certification of No Change to NYCDOT:** By signing in the space provided below, the Proposer certifies that it has read the instructions in a "Vendor's Guide to VENDEX" and that such instructions do not require the Proposer to submit VENDEX Questionnaires. The Proposer has completed **TWO ORIGINALS** of the Certification of No Change.

By: _____
(Signature of Partner or corporate officer)

Print Name: _____

FORM 6T

DBE PARTICIPATION

PIN: _____

PROJECT NAME: _____

CONTRACT NO.: _____

CONSULTANT: _____

Participation by DBE Consultants: is being proposed

Participation by DBE Consultants: is not being proposed

Participation by DBE Contractors: is being proposed

Participation by DBE Contractors: is not being proposed

If being proposed, attach the following:

1. Name(s) and Address(es) of proposed DBE firms.
2. Percentage(s) of assigned participation.
3. NYS DBE Certification(s)*.

ARCHITECT-ENGINEER QUALIFICATIONS

OMB No.: 9000-0157
Expires: 06/30/2007

Public reporting burden for this collection of information is estimated to average a total of 29 hours per response (25 hours for Part 1 and 4 hours for Part 2), including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (MVA), Regulatory and Federal Assistance Publications Division, GSA, Washington, DC 20405.

Federal agencies use this form to obtain information from architect-engineer (A-E) firms about their professional qualifications. Federal agencies select firms for A-E contracts on the basis of professional qualifications as required by the Brooks A-E Act (40 U.S.C. 1101 - 1104) and Part 36 of the Federal Acquisition Regulation (FAR).

The Brooks A-E Act requires the public announcement of requirements for A-E services (with some exceptions provided by other statutes), and the selection of at least three of the most highly qualified firms based on demonstrated competence and professional qualifications according to specific criteria published in the announcement. The Act then requires the negotiation of a contract at a fair and reasonable price starting first with the most highly qualified firm.

The information used to evaluate firms is from this form and other sources, including performance evaluations, any additional data requested by the agency, and interviews with the most highly qualified firms and their references.

GENERAL INSTRUCTIONS

Part I presents the qualifications for a specific contract.

Part II presents the general qualifications of a firm or a specific branch office of a firm. Part II has two uses:

1. An A-E firm may submit Part II to the appropriate central, regional or local office of each Federal agency to be kept on file. A public announcement is not required for certain contracts, and agencies may use Part II as a basis for selecting at least three of the most highly qualified firms for discussions prior to requesting submission of Part I. Firms are encouraged to update Part II on file with agency offices, as appropriate, according to FAR Part 36. If a firm has branch offices, submit a separate Part II for each branch office seeking work.

2. Prepare a separate Part II for each firm that will be part of the team proposed for a specific contract and submitted with Part I. If a firm has branch offices, submit a separate Part II for each branch office that has a key role on the team.

INDIVIDUAL AGENCY INSTRUCTIONS

Individual agencies may supplement these instructions. For example, they may limit the number of projects or number of pages submitted in Part I in response to a public announcement for a particular project. Carefully comply with any agency instructions when preparing and submitting this form. Be as concise as possible and provide only the information requested by the agency.

DEFINITIONS

Architect-Engineer Services: Defined in FAR 2.101.

Branch Office: A geographically distinct place of business or subsidiary office of a firm that has a key role on the team.

Discipline: Primary technical capabilities of key personnel, as evidenced by academic degree, professional registration, certification, and/or extensive experience.

Firm: Defined in FAR 36.102.

Key Personnel: Individuals who will have major contract responsibilities and/or provide unusual or unique expertise.

SPECIFIC INSTRUCTIONS

Part I - Contract-Specific Qualifications

Section A. Contract Information.

1. **Title and Location.** Enter the title and location of the contract for which this form is being submitted, exactly as shown in the public announcement or agency request.

2. **Public Notice Date.** Enter the posted date of the agency's notice on the Federal Business Opportunity website (FedBizOpps), other form of public announcement or agency request for this contract.

3. **Solicitation or Project Number.** Enter the agency's solicitation number and/or project number, if applicable, exactly as shown in the public announcement or agency request for this contract.

Section B. Architect-Engineer Point of Contact

4-8. **Name, Title, Name of Firm, Telephone Number, Fax (Facsimile) Number and E-mail (Electronic Mail) Address.** Provide information for a representative of the prime contractor or joint venture that the agency can contact for additional information.

Section C. Proposed Team.

9-11. **Firm Name, Address, and Role in This Contract.** Provide the contractual relationship, name, full mailing address, and a brief description of the role of each firm that will be involved in performance of this contract. List the prime contractor or joint venture partners first. If a firm has branch offices, indicate each individual branch office that will have a key role on the team. The named subcontractors and outside associates or consultants must be used, and any change must be approved by the contracting officer. (See FAR Part 52 Clause "Subcontractors and Outside Associates and Consultants (Architect-Engineer Services)".) Attach an additional sheet in the same format as Section C if needed.

Section D. Organizational Chart of Proposed Team.

As an attachment after Section C, present an organizational chart of the proposed team showing the names and roles of all key personnel listed in Section E and the firm they are associated with as listed in Section C.

Section E. Resumes of Key Personnel Proposed for This Contract.

Complete this section for each key person who will participate in this contract. Group by firm, with personnel of the prime contractor or joint venture partner firms first. The following blocks must be completed for each resume:

12. **Name.** Self-explanatory.

13. **Role in This Contract.** Self-explanatory.

14. **Years Experience.** Total years of relevant experience (block 14a), and years of relevant experience with current firm, but not necessarily the same branch office (block 14b).

15. **Firm Name and Location.** Name, city and state of the firm where the person currently works, which must correspond with one of the firms (or branch office of a firm, if appropriate) listed in Section C.

16. Education. Provide information on the highest relevant academic degree(s) received. Indicate the area(s) of specialization for each degree.

17. Current Professional Registration. Provide information on current relevant professional registration(s) in a State or possession of the United States, Puerto Rico, or the District of Columbia according to FAR Part 36.

18. Other Professional Qualifications. Provide information on any other professional qualifications relating to this contract, such as education, professional registration, publications, organizational memberships, certifications, training, awards, and foreign language capabilities.

19. Relevant Projects. Provide information on up to five projects in which the person had a significant role that demonstrates the person's capability relevant to her/his proposed role in this contract. These projects do not necessarily have to be any of the projects presented in Section F for the project team if the person was not involved in any of those projects or the person worked on other projects that were more relevant than the team projects in Section F. Use the check box provided to indicate if the project was performed with any office of the current firm. If any of the professional services or construction projects are not complete, leave Year Completed blank and indicate the status in Brief Description and Specific Role (block (3)).

Section F. Example Projects Which Best Illustrate Proposed Team's Qualifications for This Contract.

Select projects where multiple team members worked together, if possible, that demonstrate the team's capability to perform work similar to that required for this contract. Complete one Section F for each project. Present ten projects, unless otherwise specified by the agency. Complete the following blocks for each project:

20. Example Project Key Number. Start with "1" for the first project and number consecutively.

21. Title and Location. Title and location of project or contract. For an indefinite delivery contract, the location is the geographic scope of the contract.

22. Year Completed. Enter the year completed of the professional services (such as planning, engineering study, design, or surveying), and/or the year completed of construction, if applicable. If any of the professional services or the construction projects are not complete, leave Year Completed blank and indicate the status in Brief Description of Project and Relevance to This Contract (block 24).

23a. Project Owner. Project owner or user, such as a government agency or installation, an institution, a corporation or private individual.

23b. Point of Contact Name. Provide name of a person associated with the project owner or the organization which contracted for the professional services, who is very familiar with the project and the firm's (or firms') performance.

23c. Point of Contract Telephone Number. Self-explanatory.

24. Brief Description of Project and Relevance to This Contract. Indicate scope, size, cost, principal elements and special features of the project. Discuss the relevance of the example project to this contract. Enter any other information requested by the agency for each example project.

25. Firms from Section C Involved with This Project. Indicate which firms (or branch offices, if appropriate) on the project team were involved in the example project, and their roles. List in the same order as Section C.

Section G. Key Personnel Participation in Example Projects.

This matrix is intended to graphically depict which key personnel identified in Section E worked on the example projects listed in Section F. Complete the following blocks (see example below).

26. and 27. Names of Key Personnel and Role in This Contract. List the names of the key personnel and their proposed roles in this contract in the same order as they appear in Section E.

28. Example Projects Listed in Section F. In the column under each project key number (see block 29) and for each key person, place an "X" under the project key number for participation in the same or similar role.

29. Example Projects Key. List the key numbers and titles of the example projects in the same order as they appear in Section F.

Section H. Additional Information.

30. Use this section to provide additional information specifically requested by the agency or to address selection criteria that are not covered by the information provided in Sections A-G.

Section I. Authorized Representative

31. and 32. Signature of Authorized Representative and Date. An authorized representative of a joint venture or the prime contractor must sign and date the completed form. Signing attests that the information provided is current and factual, and that all firms on the proposed team agree to work on the project. Joint ventures selected for negotiations must make available a statement of participation by a principal of each member of the joint venture.

33. Name and Title. Self-explanatory.

SAMPLE ENTRIES FOR SECTION G (MATRIX)

26. NAMES OF KEY PERSONNEL (From Section E, Block 12) 27. ROLE IN THIS CONTRACT (From Section E, Block 13) 28. EXAMPLE PROJECTS LISTED IN SECTION F Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.

		1	2	3	4	5	6	7	8	9	10
Jane A. Smith	Chief Architect	X		X							
Joseph B. Williams	Chief Mech. Engineer	X	X	X	X						
Tara C. Donovan	Chief Elec. Engineer	X	X		X						

29. EXAMPLE PROJECTS KEY

NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)	NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION F)
1	Federal Courthouse, Denver, CO	6	XYZ Corporation Headquarters, Boston, MA
2	Justin J. Wilson Federal Building, Baton Rouge, LA	7	Founder's Museum, Newport, RI

Part II - General Qualifications

See the "**General Instructions**" on page 1 for firms with branch offices. Prepare Part II for the specific branch office seeking work if the firm has branch offices.

1. Solicitation Number. If Part II is submitted for a specific contract, insert the agency's solicitation number and/or project number, if applicable, exactly as shown in the public announcement or agency request.
- 2a-2e. Firm (or Branch Office) Name and Address. Self-explanatory.
3. Year Established. Enter the year the firm (or branch office, if appropriate) was established under the current name.
4. DUNS Number. Insert the Data Universal Numbering System number issued by Dun and Bradstreet Information Services. Firms must have a DUNS number. See FAR Part 4.6.
5. Ownership.
 - a. Type. Enter the type of ownership or legal structure of the firm (sole proprietor, partnership, corporation, joint venture, etc.).
 - b. Small Business Status. Refer to the North American Industry Classification System (NAICS) code in the public announcement, and indicate if the firm is a small business according to the current size standard for that NAICS code (for example, Engineering Services (part of NAICS 541330), Architectural Services (NAICS 541310), Surveying and Mapping Services (NAICS 541370)). The small business categories and the internet website for the NAICS codes appear in FAR Part 19. Contact the requesting agency for any questions. Contact your local U.S. Small Business Administration office for any questions regarding Business Status.
- 6a-6c. Point of Contact. Provide this information for a representative of the firm that the agency can contact for additional information. The representative must be empowered to speak on contractual and policy matters.
7. Name of Firm. Enter the name of the firm if Part II is prepared for a branch office.
- 8a-8c. Former Firm Names. Indicate any other previous names for the firm (or branch office) during the last six years. Insert the year that this corporate name change was effective and the associated DUNS Number. This information is used to review past performance on Federal contracts.
9. Employees by Discipline. Use the relevant disciplines and associated function codes shown at the end of these instructions and list in the same numerical order. After the listed disciplines, write in any additional disciplines and leave the function code blank. List no more than 20 disciplines. Group remaining employees under "Other Employees" in column b. Each person can be counted only once according to his/her primary function. If Part II is prepared for a firm (including all branch offices), enter the number of employees by disciplines in column c(1). If Part II is prepared for a branch office, enter the number of employees by discipline in column c(2) and for the firm in column c(1).
10. Profile of Firm's Experience and Annual Average Revenue for Last 5 Years. Complete this block for the firm or branch office for which this Part II is prepared. Enter the experience categories which most accurately reflect the firm's technical capabilities and project experience. Use the relevant experience categories and associated profile codes shown at the end of these instructions, and list in the same numerical order. After the listed experience categories, write in any unlisted relevant project experience categories and leave the profile codes blank. For each type of experience, enter the appropriate revenue index number to reflect the professional services revenues received annually (averaged over the last 5 years) by the firm or branch office for performing that type of work. A particular project may be identified with one experience category or it may be broken into components, as best reflects the capabilities and types of work performed by the firm. However, do not double count the revenues received on a particular project.
11. Annual Average Professional Services Revenues of Firm for Last 3 Years. Complete this block for the firm or branch office for which this Part II is prepared. Enter the appropriate revenue index numbers to reflect the professional services revenues received annually (averaged over the last 3 years) by the firm or branch office. Indicate Federal work (performed directly for the Federal Government, either as the prime contractor or subcontractor), non-Federal work (all other domestic and foreign work, including Federally-assisted projects), and the total. If the firm has been in existence for less than 3 years, see the definition for "Annual Receipts" under FAR 19.101.
12. Authorized Representative. An authorized representative of the firm or branch office must sign and date the completed form. Signing attests that the information provided is current and factual. Provide the name and title of the authorized representative who signed the form.

List of Disciplines (Function Codes)

Code	Description	Code	Description
01	Acoustical Engineer	32	Hydraulic Engineer
02	Administrative	33	Hydrographic Surveyor
03	Aerial Photographer	34	Hydrologist
04	Aeronautical Engineer	35	Industrial Engineer
05	Archeologist	36	Industrial Hygienist
06	Architect	37	Interior Designer
07	Biologist	38	Land Surveyor
08	CADD Technician	39	Landscape Architect
09	Cartographer	40	Materials Engineer
10	Chemical Engineer	41	Materials Handling Engineer
11	Chemist	42	Mechanical Engineer
12	Civil Engineer	43	Mining Engineer
13	Communications Engineer	44	Oceanographer
14	Computer Programmer	45	Photo Interpreter
15	Construction Inspector	46	Photogrammetrist
16	Construction Manager	47	Planner: Urban/Regional
17	Corrosion Engineer	48	Project Manager
18	Cost Engineer/Estimator	49	Remote Sensing Specialist
19	Ecologist	50	Risk Assessor
20	Economist	51	Safety/Occupational Health Engineer
21	Electrical Engineer	52	Sanitary Engineer
22	Electronics Engineer	53	Scheduler
23	Environmental Engineer	54	Security Specialist
24	Environmental Scientist	55	Soils Engineer
25	Fire Protection Engineer	56	Specifications Writer
26	Forensic Engineer	57	Structural Engineer
27	Foundation/Geotechnical Engineer	58	Technician/Analyst
28	Geodetic Surveyor	59	Toxicologist
29	Geographic Information System Specialist	60	Transportation Engineer
30	Geologist	61	Value Engineer
31	Health Facility Planner	62	Water Resources Engineer

List of Experience Categories (Profile Codes)

Code	Description	Code	Description
A01	Acoustics, Noise Abatement	D01	Dams (<i>Concrete; Arch</i>)
A02	Aerial Photography; Airborne Data and Imagery Collection and Analysis	D02	Dams (<i>Earth; Rock</i>); Dikes; Levees
A03	Agricultural Development; Grain Storage; Farm Mechanization	D03	Desalinization (<i>Process and Facilities</i>)
A04	Air Pollution Control	D04	Design-Build - Preparation of Requests for Proposals
A05	Airports; Nav aids; Airport Lighting; Aircraft Fueling	D05	Digital Elevation and Terrain Model Develop- ment
A06	Airports; Terminals and Hangars; Freight Handling	D06	Digital Orthophotography
A07	Arctic Facilities	D07	Dining Halls; Clubs; Restaurants
A08	Animal Facilities	D08	Dredging Studies and Design
A09	Anti-Terrorism/Force Protection	E01	Ecological and Archeological Investigations
A10	Asbestos Abatement	E02	Educational Facilities; Classrooms
A11	Auditoriums and Theaters	E03	Electrical Studies and Design
A12	Automation; Controls; Instrumentation	E04	Electronics
B01	Barracks; Dormitories	E05	Elevators; Escalators; People-Movers
B02	Bridges	E06	Embassies and Chanceries
C01	Cartography	E07	Energy Conservation; New Energy Sources
C02	Cemeteries (<i>Planning and Relocation</i>)	E08	Engineering Economics
C03	Charting; Nautical and Aeronautical	E09	Environmental Impact Studies, Assessments or Statements
C04	Chemical Processing and Storage	E10	Environmental and natural Resource Mapping
C05	Child Care/Development Facilities	E11	Environmental Planning
C06	Churches; Chapels	E12	Environmental Remediation
C07	Coastal Engineering	E13	Environmental Testing and Analysis
C08	Codes; Standards; Ordinances	F01	Fallout Shelters; Blast-Resistant Design
C09	Cold Storage; Refrigeration and Fast Freeze	F02	Field Houses; Gyms; Stadiums
C10	Commercial Building (<i>Low Rise</i>); Shopping Centers	F03	Fire Protection
C11	Community Facilities	F04	Fisheries; Fish Ladders
C12	Communications Systems; TV; Microwave	F05	Forensic Engineering
C13	Computer Facilities; Computer Service	F06	Forestry and Forest Products
C14	Conservation and Resource Management	G01	Garages; Vehicles Maintenance Facilities; Parking Decks
C15	Construction Management	G02	Gas Systems (<i>Propane; Natural, Etc.</i>)
C16	Construction Surveying	G03	Geodetic Surveying: Ground and Air- borne
C17	Corrosion Control; Cathodic Protection Electrolysis	G04	Geographic Information System Services: Development, Analysis, and Data Collection
C18	Cost Estimating; Cost Engineering and Analysis; Parametric Costing; Forecasting		
C19	Cryogenic Facilities		

Code	Description	Code	Description
G05	Geospatial Data Conversion: Scanning, Digitizing, Compilation, Attributing, Scribing, Drafting	M08	Modular systems Design; Pre-Fabricated Structures or Components
G06	Graphic Design	N01	Naval Architecture; Off-Shore Platforms
H01	Harbors; Jetties; Piers, Ship Terminal Facilities	N02	Navigation Structures; Locks
H02	Hazardous Materials Handling and Storage	N03	Nuclear Facilities; Nuclear Shielding
H03	Hazardous, Toxic, Radioactive Waste Remediation	O01	Office Buildings; Industrial Parks
H04	Heating; Ventilating; Air Conditioning	O02	Oceanographic Engineering
H05	Health Systems Planning	O03	Ordnance; Munitions; Special Weapons
H06	High-rise; Air-Rights-Type Buildings	P01	Petroleum Exploration; Refining
H07	Highways; Streets; Airfield Paving; Parking Lots	P02	Petroleum and Fuel (<i>Storage and Distribution</i>)
H08	Historical Preservation	P03	Photogrammetry
H09	Hospital and Medical Facilities	P04	Pipelines (<i>Cross-Country - Liquid and Gas</i>)
H10	Hotels; Motels	P05	Planning (<i>Community, Regional, Areawide and State</i>)
H11	Housing (<i>Residential, Multi-Family; Apartments; Condominiums</i>)	P06	Planning (<i>Site, Installation and Project</i>)
H12	Hydraulics and Pneumatics	P07	Plumbing and Piping Design
H13	Hydrographic Surveying	P08	Prisons and Correctional Facilities
I01	Industrial Buildings; Manufacturing Plants	P09	Product, Machine Equipment Design
I02	Industrial Processes; Quality Control	P10	Pneumatic Structures, Air-Support Buildings
I03	Industrial Waste Treatment	P11	Postal Facilities
I04	Intelligent Transportation Systems	P12	Power Generation, Transmission, Distribution
I05	Interior Design; Space Planning	P13	Public Safety Facilities
I06	Irrigation; Drainage	R01	Radar; Sonar; Radio and Radar Telescopes
J01	Judicial and Courtroom Facilities	R02	Radio Frequency Systems and Shieldings
L01	Laboratories; Medical Research Facilities	R03	Railroad; Rapid Transit
L02	Land Surveying	R04	Recreation Facilities (<i>Parks, Marinas, Etc.</i>)
L03	Landscape Architecture	R05	Refrigeration Plants/Systems
L04	Libraries; Museums; Galleries	R06	Rehabilitation (<i>Buildings; Structures; Facilities</i>)
L05	Lighting (<i>Interior; Display; Theater, Etc.</i>)	R07	Remote Sensing
L06	Lighting (<i>Exteriors; Streets; Memorials; Athletic Fields, Etc.</i>)	R08	Research Facilities
M01	Mapping Location/Addressing Systems	R09	Resources Recovery; Recycling
M02	Materials Handling Systems; Conveyors; Sorters	R10	Risk Analysis
M03	Metallurgy	R11	Rivers; Canals; Waterways; Flood Control
M04	Microclimatology; Tropical Engineering	R12	Roofing
M05	Military Design Standards	S01	Safety Engineering; Accident Studies; OSHA Studies
M06	Mining and Mineralogy	S02	Security Systems; Intruder and Smoke Detection
M07	Missile Facilities (<i>Silos; Fuels; Transport</i>)	S03	Seismic Designs and Studies

Code	Description
S04	Sewage Collection, Treatment and Disposal
S05	Soils and Geologic Studies; Foundations
S06	Solar Energy Utilization
S07	Solid Wastes; Incineration; Landfill
S08	Special Environments; Clean Rooms, Etc.
S09	Structural Design; Special Structures
S10	Surveying; Platting; Mapping; Flood Plain Studies
S11	Sustainable Design
S12	Swimming Pools
S13	Storm Water Handling and Facilities
T01	Telephone Systems (<i>Rural; Mobile; Intercom, Etc.</i>)
T02	Testing and Inspection Services
T03	Traffic and Transportation Engineering
T04	Topographic Surveying and Mapping
T05	Towers (<i>Self-Supporting and Guyed Systems</i>)
T06	Tunnels and Subways
U01	Unexploded Ordnance Remediation
U02	Urban renewals; Community Development
U03	Utilities (<i>Gas and Steam</i>)
V01	Value Analysis; Life-Cycle Costing
W01	Warehouse and Depots
W02	Water Resources; Hydrology; Ground Water
W03	Water Supply; Treatment and Distribution
W04	Wind Tunnels; Research/Testing Facilities Design
Z01	Zoning; Land Use Studies

ARCHITECT - ENGINEER QUALIFICATIONS

PART I - CONTRACT-SPECIFIC QUALIFICATIONS

A. CONTRACT INFORMATION

1. TITLE AND LOCATION (*City and State*):
2. PUBLIC NOTICE DATE:
3. SOLICITATION OR PROJECT NUMBER:

B. ARCHITECT-ENGINEER POINT OF CONTACT

4. NAME AND TITLE:
5. NAME OF FIRM:
6. TELEPHONE NUMBER:
7. FAX NUMBER:
8. E-MAIL ADDRESS:

C. PROPOSED TEAM

(Complete this section for the prime contractor and all key subcontractors.)

-
- 9a. PRIME (CHECK HERE):
 - 9a. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9a. SUBCONTRACTOR (CHECK HERE):
 - 9a. FIRM NAME:
 - 9a. IF BRANCH OFFICE CHECK HERE:
 - 10a. ADDRESS
 - 11a. ROLE IN THIS CONTRACT
-
- 9b. PRIME (CHECK HERE):
 - 9b. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9b. SUBCONTRACTOR (CHECK HERE):
 - 9b. FIRM NAME:
 - 9b. IF BRANCH OFFICE CHECK HERE:
 - 10b. ADDRESS
 - 11b. ROLE IN THIS CONTRACT
-

- 9c. PRIME (CHECK HERE):
 - 9c. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9c. SUBCONTRACTOR (CHECK HERE):
 - 9c. FIRM NAME:
 - 9c. IF BRANCH OFFICE CHECK HERE:
 - 10c. ADDRESS
 - 11c. ROLE IN THIS CONTRACT
-

- 9d. PRIME (CHECK HERE):
 - 9d. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9d. SUBCONTRACTOR (CHECK HERE):
 - 9d. FIRM NAME:
 - 9d. IF BRANCH OFFICE CHECK HERE:
 - 10d. ADDRESS
 - 11d. ROLE IN THIS CONTRACT
-

- 9e. PRIME (CHECK HERE):
 - 9e. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9e. SUBCONTRACTOR (CHECK HERE):
 - 9e. FIRM NAME:
 - 9e. IF BRANCH OFFICE CHECK HERE:
 - 10e. ADDRESS
 - 11e. ROLE IN THIS CONTRACT
-

- 9f. PRIME (CHECK HERE):
 - 9f. JOINT-VENTURE PARTNER (CHECK HERE):
 - 9f. SUBCONTRACTOR (CHECK HERE):
 - 9f. FIRM NAME:
 - 9f. IF BRANCH OFFICE CHECK HERE:
 - 10f. ADDRESS
 - 11f. ROLE IN THIS CONTRACT
-

D. ORGANIZATIONAL CHART OF PROPOSED TEAM *(Attached; check here)*

E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS CONTRACT
(Complete one Section E for each key person.)

- 12. NAME:
- 13. ROLE IN THIS CONTRACT:
- 14a. YEARS EXPERIENCE - TOTAL:
- 14b. YEARS EXPERIENCE - WITH CURRENT FIRM:
- 15. FIRM NAME AND LOCATION (*City and State*):
- 16. EDUCATION (*DEGREE AND SPECIALIZATION*):
- 17. CURRENT PROFESSIONAL REGISTRATION (*STATE AND DISCIPLINE*):
- 18. OTHER PROFESSIONAL QUALIFICATIONS (*Publications, Organizations, Training, Awards, etc.*):

-
- 19a(1) RELEVANT PROJECT - TITLE AND LOCATION (*City and State*):
 - 19a(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 19a(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION (*If applicable*):
 - 19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION (*Brief scope, size, cost etc.*) AND SPECIFIC ROLE:
 - 19a(3) RELEVANT PROJECT - BRIEF DESCRIPTION - Check here if project performed with current firm:

-
- 19b(1) RELEVANT PROJECT - TITLE AND LOCATION (*City and State*):
 - 19b(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 19b(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION (*If applicable*):
 - 19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION (*Brief scope, size, cost etc.*) AND SPECIFIC ROLE:
 - 19b(3) RELEVANT PROJECT - BRIEF DESCRIPTION - Check here if project performed with current firm:

-
- 19c(1) RELEVANT PROJECT - TITLE AND LOCATION (*City and State*):
 - 19c(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 19c(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION (*If applicable*):
 - 19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION (*Brief scope, size, cost etc.*) AND SPECIFIC ROLE:
 - 19c(3) RELEVANT PROJECT - BRIEF DESCRIPTION - Check here if project performed with current firm:

-
- 19d(1) RELEVANT PROJECT - TITLE AND LOCATION (*City and State*):
 - 19d(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 19d(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION (*If applicable*):
 - 19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION (*Brief scope, size, cost etc.*) AND SPECIFIC ROLE:
 - 19d(3) RELEVANT PROJECT - BRIEF DESCRIPTION - Check here if project performed with current firm:

-
- 19e(1) RELEVANT PROJECT - TITLE AND LOCATION (*City and State*):
 - 19e(2) RELEVANT PROJECT - YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 19e(2) RELEVANT PROJECT - YEAR COMPLETED - CONSTRUCTION (*If applicable*):
 - 19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION (*Brief scope, size, cost etc.*) AND SPECIFIC ROLE:
 - 19e(3) RELEVANT PROJECT - BRIEF DESCRIPTION - Check here if project performed with current firm:
-

**F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE PROPOSED TEAM'S
QUALIFICATIONS FOR THIS CONTRACT**

*(Present as many projects as requested by the agency, or 10 projects, if not specified.
Complete one Section F for each project.)*

-
- 20. EXAMPLE PROJECT KEY NUMBER:
 - 21. TITLE AND LOCATION *(City and State)*:
 - 22a. YEAR COMPLETED - PROFESSIONAL SERVICES:
 - 22b. YEAR COMPLETED - CONSTRUCTION *(If applicable)*:
 - 23a. PROJECT OWNER'S INFORMATION - PROJECT OWNER:
 - 23b. PROJECT OWNER'S INFORMATION - POINT OF CONTACT NAME:
 - 23c. PROJECT OWNER'S INFORMATION - POINT OF CONTACT TELEPHONE NUMBER:
 - 24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS CONTRACT *(Include scope, size, and cost)*:
 - 25. FIRMS FROM SECTION INVOLVED WITH THIS PROJECT

(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE

H. ADDITIONAL INFORMATION

30. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE AGENCY. ATTACH ADDITIONAL SHEETS AS NEEDED:

I. AUTHORIZED REPRESENTATIVE
The foregoing is a statement of facts.

31. SIGNATURE OF AUTHORIZED REPRESENTATIVE:

32. DATE SIGNED:

33. NAME AND TITLE OF SIGNER:

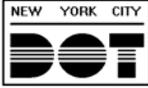
ARCHITECT-ENGINEER QUALIFICATIONS

PART II - GENERAL QUALIFICATIONS

(If a firm has branch offices, complete for each specific branch office seeking work.)

1. SOLICITATION NUMBER *(If any)*:
- 2a. FIRM (OR BRANCH OFFICE) NAME:
- 2b. FIRM (OR BRANCH OFFICE) STREET:
- 2c. FIRM (OR BRANCH OFFICE) CITY:
- 2d. FIRM (OR BRANCH OFFICE) STATE:
- 2e. FIRM (OR BRANCH OFFICE) ZIP CODE:
3. YEAR ESTABLISHED:
4. DUNS NUMBER:
- 5a. OWNERSHIP - TYPE:
- 5b. OWNERSHIP - SMALL BUSINESS STATUS:
- 6a. POINT OF CONTACT NAME AND TITLE:
- 6b. POINT OF CONTACT TELEPHONE NUMBER:
- 6c. POINT OF CONTACT E-MAIL ADDRESS:
7. NAME OF FIRM *(If block 2a is a branch office)*:

8a. FORMER FIRM NAME(S) <i>(If any)</i>	8b. YR. ESTABLISHED	8c. DUNS NUMBER



**NEW YORK CITY
DEPARTMENT OF TRANSPORTATION
DIVISION OF BRIDGES**

P.I.N. 84106SIBR096

Contract No. HBR1217

**REQUEST FOR PROPOSAL
REHABILITATION OF RAMP STRUCTURES
AT THE ST. GEORGE, STATEN ISLAND FERRY TERMINAL**

**BIN # 2269730, 2269740, 2269750,
2269760, 2269770, 2269780,
2269790, 2270170, 2270180**

Date Advertised _____

Proposals _____

Ordered On _____

Date Completed _____

Contractor _____

Contractor

Dated _____, 20____

APPROVED AS TO FORM
CERTIFY AS TO LEGAL AUTHORITY

Acting Corporation Counsel

Dated _____, 20____

EXAMINED AND FOUND CORRECT

Contract Clerk

Entered in the Comptroller's Office

Dated _____, 20____

First Assistant Bookkeeper

Worked By _____

Checked By _____

Date _____