REQUEST FOR PROPOSAL

RESIDENT ENGINEERING INSPECTION SERVICES
IN CONNECTION WITH
DESIGN BUILD FOR THE REHABILITATION OF
THE ST. GEORGE STATEN ISLAND FERRY TERMINAL RAMPS
BOROUGH OF STATEN ISLAND
CONTRACT No. HBR1217A
P.I.N. 84106SIBR095

Addendum # 5

November 22, 2006
This Addendum Is Hereby Made Part Of The Contract Documents

NOTE:

Attached please find:
1. Addendum No. 5
2. Acknowledgement Receipt
REQUEST FOR PROPOSAL

P.I.N. 84106SIBR095
CONTRACT No. HBR1217A

Resident Engineering Inspection Services
In Connection with
Design Build for the Rehabilitation of
The St. George Staten Island Ferry Terminal Ramps
Borough of Staten Island
Bin: Various

ADDENDUM # 5
November 22, 2006

REFER TO: REQUEST FOR PROPOSAL, Page 2, SECTION I - TIMETABLE, Item C: Proposal Due Date and Time and Location reading: “Date: December 4, 2006”, as amended in Addendum # 4.

CHANGE TO: To read: “Date: January 26, 2007.”

REFER TO: REQUEST FOR PROPOSAL, Table of Contents, Section II, Summary of the Request for Proposal.

ADD: New subsection at end of Section II to read: “D) Participation by Minority-Owned and Women-Owned Business Enterprises in City Procurement”

REFER TO: REQUEST FOR PROPOSAL, Table of Contents, Section VII, Attachments.

ADD: Additional attachment at end of Section VII to read: “F) Minority/Women Business Enterprise Program”

REFER TO: REQUEST FOR PROPOSAL, Section V: Proposal Evaluation and Contract Award Procedures, Page 8, Subsection A, Evaluation Procedures, as amended in Addendum # 1

DELETE: All References to M/WBE participation from Addendum # 1.

REFER TO: REQUEST FOR PROPOSAL, Section VII E, Form 4T1, Labor Cost Proposal as amended in Addendum # 1 and Addendum # 2

DELETE: All References and Clarifications to Form 4T1 from Addendum # 1.
Note for Mid-Point of Construction Duration from Addendum # 2.
NOTE: The instructions provided on Form 4T1 shall be used to establish the average hourly rate in Column (4). Consequently, the consultant shall propose an escalation factor, not to exceed the maximum escalation factor indicated on Form 4T1 of the original RFP, to escalate the Total Labor Cost in Column (5).

REFER TO: REQUEST FOR PROPOSAL, Page 3, Section II, Summary of the Request for Proposal

ADD: New section at end of page to read:

“D) Participation by Minority/Women Owned Business Enterprises in City Procurement

This procurement is subject to the Minority-Owned and Women-Owned Business Enterprise (‘‘M/WBE’’) program created by Local Law No. 129 of 2005, which added Section 6-129 to the Administrative Code of the City of New York. The requirements of M/WBE participation for this procurement are set forth in Attachment F - Minority/Women Business Enterprise Program and in Schedule B. The selected proposer must comply with all M/WBE requirements in this procurement and the subsequent negotiated Contract.

The participation goals established for this contract are set forth on Attachment F.

M/WBE firms must be certified by the Department of Small Business Services (‘‘DSBS’’) to be eligible for participation. Such certification must occur prior to the firms’ commencement of work as subcontractors. A list of M/WBE firms may be obtained from the DSBS website at www.nyc.gov/getcertified, by e-mailing mwbe@sbs.nyc.gov or lbe@sbs.nyc.gov, by calling the DSBS certification hotline at (212) 513-6311, or by visiting or writing DSBS at 110 William Street, New York, New York 10038, 7th Floor. Eligible firms that have not yet been certified may contact DSBS (as indicated above) in order to seek certification.

NOTE: Proposers who intend to subcontract laboratory services to M/WBE firm(s) shall include such services in Schedule B, provided the dollar amount intended to be subcontracted is under $1 million for each subcontract. The agency shall consider such subcontract(s) as part of the proposers’ utilization plan.

REFER TO: REQUEST FOR PROPOSAL, Addendum # 2, Page A2-SR7, Minimum Staffing, reading: “1 Project Manager for the duration of the contract”

CHANGE TO: To read: “1 Project Manager on a part-time basis (assume 20 hours per week) for the duration of the construction of the Design Build contract. During the pre-construction phase of the Design-Build contract the project manager will only be obligated to attend bi-weekly meetings. The consultant shall determine the number of hours that will be assigned to the Project Manager during the closeout phase of the contract.”
REFER TO: REQUEST FOR PROPOSAL, Section VII

INSERT: Attachment F below at end of Section VII

REFER TO: REQUEST FOR PROPOSAL, Section VII (B), General Provisions

INSERT: Attached “Notice to all Prospective Contractors” below to end of Section VII (B)
SECTION VII

F) SCHEDULE B – SUBCONTRACTOR UTILIZATION PLAN

CONTENTS

PART I: AGENCY’S TARGET
PART II: BIDDER/PROPOSER SUBCONTRACTING PLAN
PART III: REQUEST FOR WAIVER OF TARGET SUBCONTRACTING PERCENTAGE
SCHEDULE B – Subcontractor Utilization Plan – Part I: Agency’s Target

This page to be completed by contracting agency

**Contract Overview**

<table>
<thead>
<tr>
<th>Pin #</th>
<th>FMS Project ID#</th>
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</thead>
<tbody>
<tr>
<td>84106SIBR095</td>
<td>HBR1217A</td>
</tr>
</tbody>
</table>

**Project Title**
Resident Engineering Inspection Services in Connection with Design Build for the Rehabilitation of the St. George Staten Island Ferry Terminal Ramps

**Contracting Agency**
New York City Department of Transportation

**Agency Address**
40 Worth Street, 8th Floor, Room 824A
City: New York
State: NY
Zip Code: 10013

**Contact Person**
Patrick Nestor
**Title**
Project Manager

**Telephone #**
(212) 788-2072
**Email**
pnestor@dot.nyc.gov

**Project Description** (attach additional pages if necessary)

Resident Engineering Inspection Services to be performed by the Consultant are to ensure that the work of the Contractors conforms to the provisions of the contract documents. Services to be performed include detailed inspection and on-site field tests of all materials and items of work as is customary; field measurement and collection of data necessary to submit monthly and final estimates and progress reports; preparation of record plans showing all changes from the contract plans. A diary describing the progress of the work, specific problems encountered and all other pertinent information relative to the execution of the contract, and all records, shall be kept in accordance with the Manual for Uniform Record Keeping (MURK). The Consultant will be responsible for preventing delays in the Contractor's procedures by giving constant attention to the adequacy of the contractor's personnel and equipment and the availability of necessary materials and supplies.

(1) ✓ **Target Subcontracting Percentage**
Percentage of total contract dollar value that agency estimates will be awarded to subcontractors in amounts under $1 million for construction and professional services.

4%

**Subcontractor Participation Goals**

*Complete and enter total for each Construction or Professional Services, or both (if applicable)*

<table>
<thead>
<tr>
<th>Group</th>
<th>Construction</th>
<th>Professional Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black American</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>Hispanic American</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>Asian American</td>
<td>%</td>
<td>No Goal</td>
</tr>
<tr>
<td>Caucasian Female</td>
<td>No Goal</td>
<td>%</td>
</tr>
</tbody>
</table>

**Total Participation Goals**

(2) % (3) 20%

*Note: for this procurement, based on a limited number of likely subcontracting opportunities, individual ethnicity and gender goals are not specified. Bidders/proposers may meet the Total Participation Goal through subcontracts with vendors certified in one or more of the ethnicity or gender categories. For reference, the Citywide Subcontractor Participation Goals for construction services are 12.63% Black American, 9.47% Asian American and 9.06% Hispanic American. For Professional Services, the Citywide Subcontractor Participation Goals are 9% Black American, 5% Hispanic American and 16.5% Caucasian Female.*
SCHEDULE B – Subcontractor Utilization Plan – Part II: Bidder/Proposer Subcontracting Plan

This page and the next (Part II herein) are to be completed by the bidder/proposer. NOTE: Bids/proposals which do not include a completed subcontractor utilization plan (Part II herein) will be deemed to be non-responsive, unless a full waiver of the target subcontracting percentage is granted (Part III herein).

Section I: Prime Contractor Contact Information

<table>
<thead>
<tr>
<th>Tax ID #</th>
<th>FMS Vendor ID #</th>
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<table>
<thead>
<tr>
<th>Business Name</th>
<th>Contact Person</th>
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<table>
<thead>
<tr>
<th>Address</th>
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<table>
<thead>
<tr>
<th>Telephone #</th>
<th>Email</th>
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<tr>
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</table>

Section II: General Contract Information

1. Define the industry in which work is to be performed.
   - Construction includes all contracts for the construction, rehabilitation, and/or renovation of physical structures. This category does include CM Build as well as other construction related services such as: demolition, asbestos and lead abatement, and painting services, carpentry services, carpet installation and removal, where related to new construction and not maintenance.
   - Professional Services are a class of services that typically require the provider to have some specialized field or advanced degree. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health services, pure construction management, environmental analysis, scientific testing, architecture and engineering, and traffic studies, and similar services.

   a. Type of work on Prime Contract (Check one):
      - Construction
      - Professional Services

   b. Type of work on Subcontract (Check all that apply):
      - Construction
      - Professional Services
      - Other

2. What is the expected percentage of the total contract dollar value that you expect to award to all subcontracts?  
   - %

3. Will you award subcontract(s) in amounts below $1 million for construction and/or professional services contracts within the first 12 months of the notice to proceed on the contract?  
   - Yes  
   - No

Section III: Subcontractor Utilization Summary

IMPORTANT: If you do not anticipate that you will subcontract at the target level the agency has specified, because you will perform more of the work yourself, you must seek a waiver of the Target Subcontracting Percentage by completing p. 4.

Step 1: Calculate the percentage (of your total bid) that will go towards subcontracts under $1M for construction and/or professional services

\[
\text{Subcontracts under $1M (4) (construction/professional services)} \quad \text{Total Bid/Proposal Value} \quad \text{Calculated Target Subcontracting Percentage} \\
\downarrow \quad \downarrow \quad \times 100 = \% \\
\]

- Subcontracts under $1M (construction/professional services): Enter the value you expect to award to subcontractors in dollars for amounts under $1 million for construction and/or professional services. This value defines the amount that participation goals apply to, and will be entered into the first line of Step 2.
- Total Bid/Proposal Value: Provide the dollar amount of the bid/proposal.
- Calculated Target Subcontracting Percentage: The percentage of the total contract dollar value that will be awarded to one or more subcontractors for amounts under $1 million for construction and/or professional services. This percentage must equal or exceed the percentage listed by the agency on page 1, at line (1).

Important: The “Calculated Target Subcontracting Percentage” MUST equal or exceed the Target Subcontracting Percentage listed by the agency on Page 1, Line (1) or the bid/proposal will be deemed non-responsive.
SCHEDULE B – cont.

Step 2:
Calculate value of subcontractor participation goals

<table>
<thead>
<tr>
<th>Subcontracts under $1M (construction/professional services)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
</tr>
</tbody>
</table>

- From line a. above, allocate the dollar value of “Subcontracts under $1M” by Construction and Professional Services.
- If all subcontracts under $1M are in one industry, enter ‘0’ for the industry with no subcontracts.
- Amounts listed on these lines should add up to the value from line a.

<table>
<thead>
<tr>
<th>Subcontracts under $1M by Industry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Construction</td>
</tr>
<tr>
<td>Professional Services</td>
</tr>
</tbody>
</table>

- For Construction enter percentage from line (2) from Page 1.
- For Professional Services enter percentage from line (3) from Page 1.

**These Percentages must be copied from the Agency Plan, or the bid/proposal will be deemed non-responsive.**

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<tr>
<th>x</th>
<th>%</th>
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</table>

- Value of Total Participation Goals

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<th>$</th>
<th>$</th>
</tr>
</thead>
</table>

Step 3:

- **Subcontracts in Amounts Under $1 M Scope of Work – Construction**

  Enter brief description of type(s) of subcontracts in amounts under $1M anticipated, by type of work, not by name of subcontractor

- **Subcontracts in Amounts Under $1 M Scope of Work – Professional Services**

  Enter brief description of type(s) of subcontracts in amounts under $1M anticipated, by type of work, not by name of subcontractor

Section IV: Vendor Certification

I hereby 1) acknowledge my understanding of the M/WBE requirements as set forth herein and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder; 2) affirm that the information supplied in support of this subcontractor utilization plan is true and correct; 3) agree, if awarded this Contract, to comply with the M/WBE requirements of this Contract and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this contract; and 4) agree, if awarded this contract, to make all reasonable, good faith efforts to attain the Target Subcontracting Percentage as specified by the Agency, and to solicit and obtain the participation of M/WBEs so as to meet the required Subcontractor Participation Goals.

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
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<tr>
<th>Print Name</th>
<th>Title</th>
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SCHEDULE B – PART III – REQUEST FOR WAIVER OF TARGET SUBCONTRACTING PERCENTAGE

**Contract Overview**

Tax ID # ___________________________ FMS Vendor ID # ___________________________

Business Name ___________________________ Telephone # ___________________________ Email ___________________________

Type of Procurement □ Competitive Sealed Bids □ Other ___________

Bid/Response Due Date ___________________________

PIN # for this procurement ___________________________ Type of work on Prime Contract ___________________________

(Check one):

□ Construction □ Professional Services

□ Construction □ Professional Services

SUBCONTRACTING as described in bid/solicitation documents (Copy this % figure from Schedule B, Part I, line 1)

__________________________% of the total contract value anticipated by the agency to be subcontracted for construction/professional services subcontracts valued below $1 million (each)

ACTUAL SUBCONTRACTING as anticipated by vendor seeking waiver

__________________________% of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for construction/ professional services subcontracts valued below $1 million (each)

**Basis for Waiver Request:** Check appropriate box & explain in detail below (attach additional pages if needed)

□ Vendor does not subcontract construction/professional services, and has the capacity and good faith intention to perform all such work itself.

□ Vendor subcontracts some of this type of work but at lower % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract.

□ Other _____________________________________________

**References**

List 3 most recent contracts/subcontracts performed for NYC agencies (if any)

<table>
<thead>
<tr>
<th>CONTRACT NO.</th>
<th>AGENCY</th>
<th>DATE COMPLETED</th>
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List 3 most recent contracts/subcontracts performed for other agencies/entities

(complete ONLY if vendor has performed fewer than 3 NYC contracts)

<table>
<thead>
<tr>
<th>TYPE OF WORK</th>
<th>AGENCY/ENTITY</th>
<th>DATE COMPLETED</th>
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Managers at agency/entity that hired vendor (Name/Phone No.)

**VENDOR CERTIFICATION:** I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.

Signature: ___________________________ Date: __________

Print Name: ___________________________ Title: ___________________________

Shaded area below is for agency completion only

**AGENCY CHIEF CONTRACTING OFFICER APPROVAL**

Signature: ___________________________ Date: __________

**CITY CHIEF PROCUREMENT OFFICER APPROVAL**

Signature: ___________________________ Date: __________
NOTICE TO ALL PROSPECTIVE CONTRACTORS
[This should be part of the standard contract]

PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added Section 6-129 to the Administrative Code of the City of New York. The local law creates a program for participation by minority-owned and women-owned business enterprises (MBEs and WBEs) in City procurement. As stated in the Section 6-129, the intent of the program is to address the impact of discrimination on the City’s procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are made pursuant to Local Law 129, and the rules of the Department of Small Business Services (“DSBS”) promulgated thereunder.

If this Contract is subject to the Minority-Owned and Women-Owned Business Enterprise (“M/WBE”) program created by Local Law 129, the specific requirements of M/WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the “Subcontractor Utilization Plan”), and are detailed below.

The Contractor must comply with all applicable M/WBE requirements for this Contract.

Article I, Part A, below, sets forth provisions related to the participation goals for construction and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE program.

PART A

PARTICIPATION GOALS FOR CONSTRUCTION AND PROFESSIONAL SERVICES CONTRACTS

1. The Target Subcontracting Percentage applicable to this Contract is set forth on Schedule B, Part I to this Contract (see Page 1, line (1)).

The “Target Subcontracting Percentage” is the percentage of the total Contract which Agency anticipates that the prime contractor for this Contract would in the normal course of business award to one or more subcontractors for amounts under $1 million for construction and professional services.

A prospective contractor may seek a full or partial pre-award waiver of the Target Subcontracting Percentage in accordance with Local Law 129 and Part A, Section 10 below. To apply for the a full or partial waiver of the Target Subcontracting Percentage, a prospective contractor must complete Part III (Page 4) of Schedule B, and must submit such request no later than seven (7) days prior to the date and time the bids or proposals are due, in writing to the Agency by e-mail at pnestor@dot.nyc.gov or via facsimile at (212) 788-1191. Bidders/proposers who have submitted requests will receive a response by no later than two (2) calendar days prior to the date bids or proposals are due, provided, however, that if that date would fall on a weekend or holiday, a response will be provided by close-of-business on the business day before such weekend or holiday date.
2. The **Subcontractor Participation Goals** established for this Contract are set forth on Schedule B, Part I to this Contract (see Page 1, line (2) and/or line (3)).

The **Subcontractor Participation Goals** represent a percentage of the total dollar value of all construction and/or professional services subcontracts under this Agreement for amounts under $1 million.

3. If **Subcontractor Participation Goals** have been established for this Contract, Contractor agrees or shall agree as a material term of the Agreement that, with respect to the total amount of the Agreement to be awarded to one or more subcontractors pursuant to subcontracts for amounts under $1 million, Contractor shall be subject to the **Subcontractor Participation Goals**, unless the goals are modified by Agency in accordance with Local Law 129 and Part A, Section 11 below.

4. If **Subcontractor Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, Part II Subcontractor Utilization Plan (see Page 2-3) indicating: (a) the percentage of work it intends to subcontract; (b) the percentage of work it intends to award to subcontractors for amounts under $1 million; (c) in cases where the prospective contractor intends to award subcontracts for amounts under $1 million, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs; and (d) the general time frames in which such work by MBEs and/or WBEs is scheduled to occur. In the event that this Subcontractor Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to award the **Target Subcontracting Percentage**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the **Target Subcontracting Percentage** in accordance with Local Law 129 and Part A, Section 10 below.

5. Where a Subcontractor Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi-year contracts, such list shall also be submitted every year thereafter. In the event that the Contractor’s selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. M/WBE firms must be certified by DSBS in order for the Contractor to credit such firms’ participation toward the attainment of the M/WBE participation goals. Such certification must occur prior to the firms’ commencement of work as subcontractors. A list of M/WBE firms may be obtained from the DSBS website at [www.nyc.gov/getcertified](http://www.nyc.gov/getcertified), by emailing DSBS at MWBE@sbs.nyc.gov, by calling the DSBS certification hotline at (212) 513-6311, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS (as indicated above) in order to seek certification.

7. Where a Subcontractor Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount paid to subcontractors (including subcontractors that are not MBEs or WBEs); the names, addresses and contact numbers of each MBE or WBE hired as a
subcontractor pursuant to such plan as well as the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment, the total amount paid to subcontractors (including subcontractors that are not MBEs or WBEs); and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE hired pursuant to such plan, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor’s Subcontractor Utilization Plan, Agency shall take appropriate action, in accordance with Local Law 129 and Article II below, unless the Contractor has obtained a modification of its Subcontractor Utilization Plan in accordance with Local Law 129 and Part A, Section 11 below.

9. Where a Subcontractor Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds 10 percent of the Agreement, Agency shall establish participation goals for the work to be performed pursuant to the change order.

10. Pre-award waiver of **Target Subcontracting Percentage**. Agency may grant a full or partial waiver of the **Target Subcontracting Percentage** to a bidder or proposer, as applicable, who demonstrates—before submission of the bid or proposal—that it has legitimate business reasons for proposing the level of subcontracting in its Subcontractor Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder or proposer, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts for under one million dollars represented by the **Target Subcontracting Percentage**. In making such determination, Agency may consider whether the Subcontractor Utilization Plan is consistent with past subcontracting practices of the bidder or proposer, as applicable, and whether the bidder or proposer, as applicable, has made good faith efforts to identify portions of the Contract that it intends to subcontract.

11. Modification of Subcontractor Utilization Plan. A Contractor may request a modification of its Subcontractor Utilization Plan (**Subcontractor Participation Goals**) after award of this Contract. The Agency may grant such request if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Subcontractor Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

   (a) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women’s business organizations;

   (b) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women’s business organizations;

   (c) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs and WBEs that their interest in the Contract was solicited;

   (d) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the Subcontractor Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

   (e) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
(f) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts;

(g) Timely written requests for assistance made by the Contractor to Agency’s M/WBE liaison officer and to DSBS;

(h) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency’s M/WBE officer shall provide written notice to the Contractor of the determination.

12. If Subcontractor Participation Goals have been established for this Contract, Agency shall evaluate and assess the Contractor’s performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor’s overall contract performance evaluation.

**PART B**

**MISCELLANEOUS**

1. The Contractor shall take notice that, if this solicitation requires the establishment of a Subcontractor Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See 6-129(e)(10). Furthermore, such resulting contract may also be examined by the City’s Comptroller to assess compliance with the Subcontractor Utilization Plan.

2. Pursuant to DSBS rules, construction contracts that include a requirement for a Subcontractor Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Administrative Code Section 6-108.1.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and WBEs in contracts.

4. Prospective contractors are encouraged to enter into joint ventures with MBEs and WBEs.

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE requirements set forth herein and the pertinent provisions of Local Law 129 of 2005, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE requirements of this Contract and pertinent provisions of Local Law 129 of 2005, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of M/WBE’s to meet the required Subcontractor Participation Goals.

**ARTICLE II. ENFORCEMENT**

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder’s or proposer’s prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that
implements Section 6-129, including, but not limited to any Subcontractor Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements this Section 6-129, including, but not limited any Subcontractor Utilization Plan, Agency may determine that one of the following actions should be taken:

   (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;

   (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;

   (c) making a finding that the Contractor is in default of the Contract;

   (d) terminating the Contract;

   (e) declaring the Contractor to be in breach of Contract;

   (f) withholding payment or reimbursement;

   (g) determining not to renew the Contract;

   (h) assessing actual and consequential damages;

   (i) assessing liquidated damages or reduction of fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the program established by Section 6-129, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;

   (j) exercise rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or

   (k) take any other appropriate remedy.

4. Whenever Agency has reason to believe that an MBE or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129), or has violated any provision of Section 6-129, Agency shall notify the commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

5. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

6. The Contractor's record in implementing its Subcontractor Utilization Plan shall be a factor in the evaluation of its performance. Whenever a contracting agency determines that a contractor's compliance with a
Subcontractor Utilization Plan has been unsatisfactory, the agency shall, after consultation with the city chief procurement officer, file an advice of caution form for inclusion in VENDEX as caution data.
REQUEST FOR PROPOSAL

RESIDENT ENGINEERING INSPECTION SERVICES
IN CONNECTION WITH
DESIGN BUILD FOR THE REHABILITATION OF
THE ST. GEORGE STATEN ISLAND FERRY TERMINAL RAMPS
BOROUGH OF STATEN ISLAND
BIN: VARIOUS

TOGETHER WITH ALL WORK INCIDENTAL THERETO

I, ______________________________________________________
(NAME AND TITLE)

____________________________________________________
(a duly authorized representative of
(NAME OF PROPOSERS)

acknowledge receipt of Addendum No. 5 dated November 22, 2006 for the Contract No. HBR1217A for which proposals will be received by 2:00 PM on January 26, 2007.